Packing *d*-dimensional balls into a d + 1-dimensional container

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Joint work with Helmut Alt (FU Berlin) Otfried Cheong (SCALGO) Ji-won Park (Université de Lorraine, CNRS, Inria, LORIA) Nadja Seiferth (FU Berlin) arXiv 2110.12711





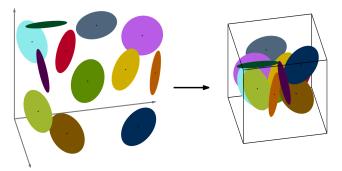


The problem

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- A (unit) hyperdisk is a (unit) *d*-dim ball in \mathbb{R}^{d+1}
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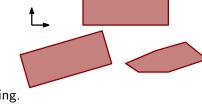
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Pack: pairwise disjoint relative interiors

Container:

- axis-parallel box
- arbitrarily oriented box
- convex body

Allowing rotations — not interesting.



The problems

• Algorithmic problem:

Given *n* unit hyperdisks in \mathbb{R}^{d+1} , find the min-volume

- axis-parallel box
- arbitrarily oriented box
- convex body

where they can be packed under translations.

Mathematical problem:

Find a tight bound f(n, d) such that each set of n unit hyperdisks in \mathbb{R}^{d+1} can be packed with translations into

- an axis-parallel box
- an arbitrarily oriented box
- a convex body

of volume f(n, d).

What do we show?

Algorithmic problem:

Given *n* unit hyperdisks in \mathbb{R}^{d+1} , find the min-volume container where they can be packed under translations.

- *d^{O(d)}*-approximation algorithms
- O(1)-approximation algorithms for each d

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Find a tight bound f(n, d) such that each set of n unit hyperdisks in \mathbb{R}^{d+1} can be packed with translations into a container of volume f(n, d).

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$$f(n,d) = \Theta(n^{\frac{d-1}{d}})$$

- for d = 1, $f(n, 1) = \Theta(1)$, independent of n
- for d=2, $f(n,2)=\Theta(n^{1/2}),$ unbounded for $n \to \infty$

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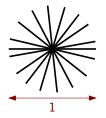
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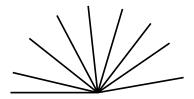
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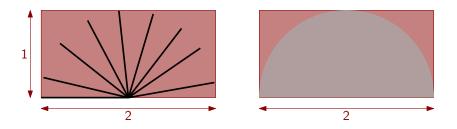
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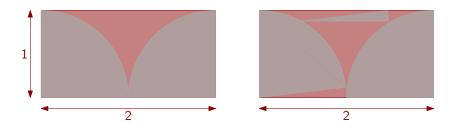
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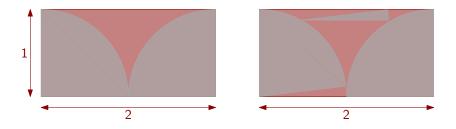
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- Quite some geometry, simple algorithms











Such a result is not possible for 2-dim unit disks in \mathbb{R}^3 . For some *n* unit disks in \mathbb{R}^3 , any container needs volume $\Theta(n^{1/2})$.

Potato Sack Theorem

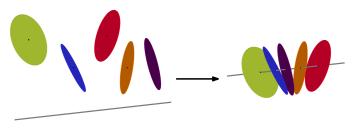
- $(K_i)_{i=1}^{\infty}$ a sequence of convex bodies in \mathbb{R}^d
- diam $(K_i) \leq a$ for all i
- $\sum_{i=1}^{\infty} \operatorname{vol} K_i \leq b$
- ► There exists a cube of volume c = f(a, b, d) where we can pack (K_i)[∞]_{i=1} using rigid motions
- Problem 10.1 by Auerbach, Banach, Mazur, and Ulam in the Scottish Book
- Solution published by Kosiński (1957)
- Survey by Fejes Tóth, 2023 Packing and covering properties of sequences of convex bodies

The ideas

- Stabbing problem
- Connection between packing and stabbing for similar hyperdisks
- Clustering of hyperdisks with similar normals
- Quite some geometry, easy algorithms

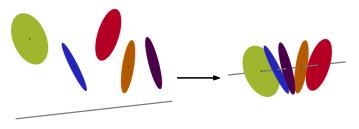
Stabbing problem

- ℓ a line in \mathbb{R}^{d+1}
- *n* unit hyperdisks in \mathbb{R}^{d+1}
- \blacktriangleright Task: pack the hyperdisks using translations such that each center lies on ℓ
 - minimize the length of the projection on ℓ
 - minimize the furthest center-to-center distance



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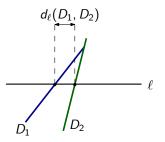


- Discretization: The order of the hyperdisks decides the packing
- ► Key property: we only need to care about consecutive hyperdisks

Sergio Cabello

A metric on unit hyperdisks

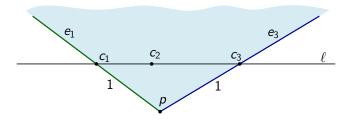
- ℓ a line in \mathbb{R}^{d+1}
- D_1 and D_2 two unit hyperdisks in \mathbb{R}^{d+1}
- *d*_ℓ(*D*₁, *D*₂) = distance between the centers of *D*₁ and *D*₂ when they are touching and pierced by ℓ on their centers



Key property: this is a metric on unit hyperdisks

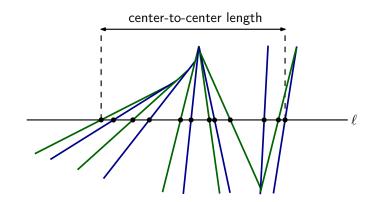
A metric on unit hyperdisks – proof overview

- challenging part: triangular inequality
- assume $d_{\ell}(D_1, D_2) + d_{\ell}(D_2, D_3) < d_{\ell}(D_1, D_3)$
- place D_1 and D_3 touching at a point p
- plane π containing ℓ and the touching point p
- $e_i = D_i \cap \pi$ a segment of unit length (i = 1, 2, 3)
- between e_1 and e_3 there is not enough space for e_2



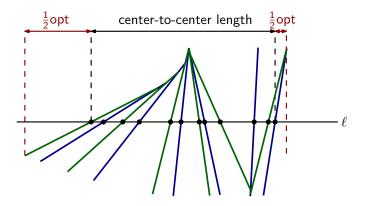
Stabbing problem - O(1)-approximation

- Hamiltonian path with weights $d_{\ell}(\cdot, \cdot)$.
- $\frac{3}{2}$ -approximation for minimizing the center-to-center length



Stabbing problem - O(1)-approximation

- Hamiltonian path with weights $d_{\ell}(\cdot, \cdot)$.
- $\frac{3}{2}$ -approximation for minimizing the center-to-center length
- $\frac{5}{2}$ -approximation for minimizing the length of projection



- ℓ a line in \mathbb{R}^{d+1}
- D_1 and D_2 two unit hyperdisks in \mathbb{R}^{d+1} with normals n_1 and n_2
- $\xi = \measuredangle(n_1, n_2)$
- ϕ such that $\measuredangle(n_1, \ell) \leq \phi$ and $\measuredangle(n_2, \ell) \leq \phi$

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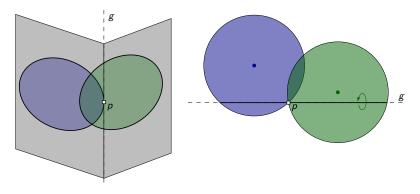
$$\sin \xi \leq d_{\ell}(D_1, D_2) \leq \frac{\sin \xi}{\cos \phi}$$

sin ξ is a O(1)-approximation to d_ℓ(D₁, D₂), when φ not very large.

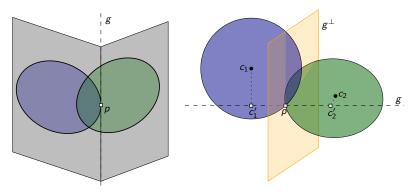
- ▶ consider D_1, D_2 defining $d_{\ell}(D_1, D_2)$, p touching point
- *h_i* hyperplane containing *D_i*

▶
$$g = h_1 \cap h_2$$
 a $(n-2)$ -dim flat, $p \in g$

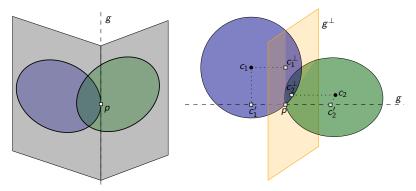
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- ▶ $g = h_1 \cap h_2$ a (n-2)-dim flat, $p \in g$
 - one $D_i \cap g$ contains only p OR
 - D_1 and D_2 both contain a piece of g in the interior



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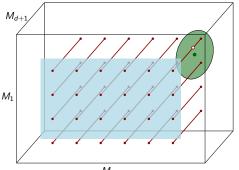
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- $\phi_0 = \arccos(1/\sqrt{d+1})$
- ▶ assume each hyperdisk D_i has normal n_i with $\measuredangle(n_i, x_{d+1}) \le \phi_0$
- opt = volume optimal AA box

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- opt = volume optimal AA box
- there exists a stabbing by x_{d+1} -axis with length O(opt)
- the volume of that stabbing is $O(1) \cdot O(\text{length}) = O(\text{opt})$



 $O(M_1 imes M_2 imes \cdots imes M_d)$ segments of length M_{d+1}

Each hyperdisk pierced at distance $\leq \frac{1}{2}$ from its center

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 $O(M_1 \times M_2 \times \cdots \times M_d)$ segments

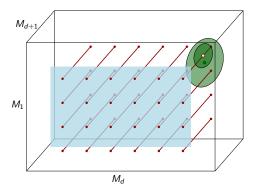
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A collection of hyperdisks of radius $\frac{1}{2}$ pierced through the centers

of length M_{d+1}

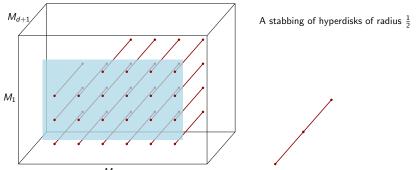
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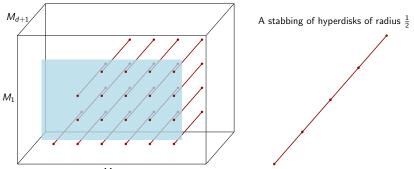
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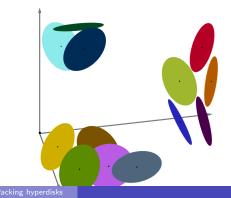
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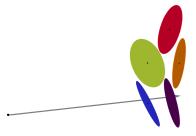
 M_d

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- if two \mathcal{D}_j non-empty, then $\mathsf{opt} = \Omega(1)$
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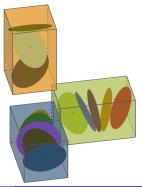
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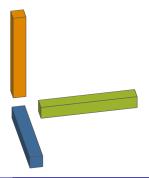
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Packing unit hyperdisks in arbitrary box

- choose arbitrary D and make its normal the x_{d+1} -axis
- if all hyperdisks have similar normals, stabbing is good
- ► stabbing with l or l', if l and l' similar, is O(1)-approximation because

$$d_{\ell}(D_1, D_2) = \Theta(d_{\ell'}(D_1, D_2))$$

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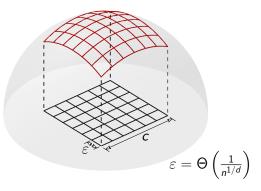
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- convex container
 - each convex body K in ℝ^d contained in a box of volume d^{3d/2} vol(K))
 - compute the smallest-volume box and return it

Worst case bounds

- \blacktriangleright a hyperdisk is represented by a point in \mathbb{S}^d
- geodesic distance inside $\mathbb{S}^d \sim d_\ell(\cdot, \cdot)$, for points near ℓ
- an *n*-point instance such that any MST/TSP has $\Omega(n^{\frac{d-1}{d}})$
- ▶ each *n* points on \mathbb{S}^d have a MST/TSP of length $O(n^{\frac{d-1}{d}})$



Conclusions

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