On the conformal Calabi-Yau problem

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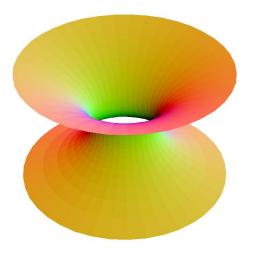
1/33

- Basics on minimal surfaces
- $\bullet\,$ Connection with holomorphic null curves in \mathbb{C}^3
- Our contribution to the Calabi-Yau problem; brief history
- The main tools: Riemann-Hilbert problem for null curves, exposing points, gluing techniques
- Proper null curves in \mathbb{C}^3 with a bounded coordinate function
- Applications to null curves in *SL*₂(C) and to Bryant surfaces in the hyperbolic 3-space

Based on joint work with Antonio Alarcón, University of Granada.

From Euler's surfaces of rotation...

1744 **Euler** The only area minimizing surfaces of rotation are planes and catenoids.



...via Lagrange's equation of minimal graphs...

1760 Lagrange Let $\Omega \subset \mathbb{R}^2$ be a smooth bounded domain. Then a smooth graph $(x, y, f(x, y)) \subset \overline{\Omega} \times \mathbb{R}$ is a critical point of the *area functional* with prescribed boundary values iff

$$(1+f_y^2)f_{xx}-2f_xf_yf_{xy}+(1+f_x^2)f_{yy}=0;$$

equivalently,

$$\operatorname{div}\left(\frac{\nabla f}{\sqrt{1+|\nabla f|^2}}\right)=0.$$

This is known as the equation of minimal graphs.

- 1776 **Meusnier** A smooth surface $M \subset \mathbb{R}^3$ satisfies locally the above equation iff *M* is locally area minimizing (among surfaces with the same boundary), and
 - this holds iff its *mean curvature* function Θ: M → ℝ vanishes identically.

Definition (Minimal Surface)

A smoothly immersed surface $M \to \mathbb{R}^3$ is said to be **minimal** if its mean curvature is identically zero.

The helicoid (Archimedes' screw)

1776 Meusnier The helicoid is a minimal surface.

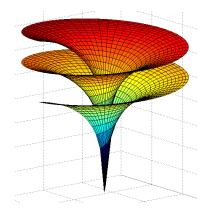
$$x = \rho \cos(\alpha \theta), \quad y = \rho \sin(\alpha \theta), \quad z = \theta$$



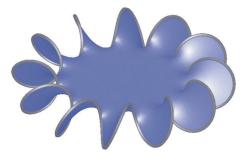
1842 **Catalan** The helicoid and the plane are the only ruled minimal surfaces in \mathbb{R}^3 .

A relative of helicoid - Dini's surface

A surface with constant negative curvature, named after Ulisse Dini (1845 – 1918), an Italian mathematician and politician born in Pisa.

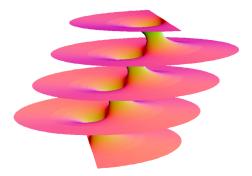


1873 Plateau Minimal surfaces can be obtained as soap films.



1932 **Douglas, Radó** Every continuous injective closed curve in \mathbb{R}^3 spans a minimal surface.

1865 **Riemann** On the way to this solution, Riemann and others discovered new examples of minimal surfaces using the Weierstrass representation.



Conformal minimal surfaces in \mathbb{R}^3

Assume that *M* is a **Riemann surface**, i.e., a smooth orientable surface with a choice of a conformal=complex structure.

Definition

A smooth immersion $M \to \mathbb{R}^3$ is **conformal** if it preserves angles, and is **minimal** if its mean curvature is identically zero.

- Every Riemann surface is conformally equivalent to a closed embedded surface in ℝ³ (Rüedy 1971).
- Denote by Θ : M → ℝ its mean curvature and by v : M → S² ⊂ ℝ³ its Gauss map. Then

$$\Delta G = 2\Theta v.$$

- Hence a conformal immersion $M \to \mathbb{R}^3$ is minimal iff it is harmonic.
- A conformal immersion $G: M \to \mathbb{R}^3$ is minimal iff its Gauss map $v: M \to \mathbb{S}^2 \equiv \overline{\mathbb{C}}$ is conformal.

Complete bounded minimal surfaces in \mathbb{R}^3

- An immersion G: M→ R³ is said to be complete if the pullback G^{*}ds² of the Euclidean metric ds² on R³ is a complete metric on M. Equivalently, the G-image of any curve in M which ends on bM is infinitely long.
- We give a contribution to the conformal Calabi-Yau problem:

Theorem

Every bordered Riemann surface admits a complete conformal minimal (=harmonic) immersion into \mathbb{R}^3 with bounded image.

• What is new in comparison to all existing results is that we do not change the complex structure on the Riemann surface.

[A. Alarcón, F. Forstnerič: http://arxiv.org/abs/1308.0903]

This theorem is a corollary to a comparable result concerning *holomorphic null curves* in \mathbb{C}^3 .

Definition (Null curves)

Let *M* be a Riemann surface. A holomorphic immersion

$${\sf F}=({\sf F}_1,{\sf F}_2,{\sf F}_3)\colon M o \mathbb{C}^3$$

is a **null curve** if the derivative $F' = (F'_1, F'_2, F'_3)$ with respect to any local holomorphic coordinate $\zeta = x + iy$ on *M* satisfies

$$(F_1')^2 + (F_2')^2 + (F_3')^2 = 0.$$

Connection between null curves and minimal surfaces

• If $F = G + iH : M \to \mathbb{C}^3$ is a holomorphic null curve, then

$$G = \Re F : M \to \mathbb{R}^3, \quad H = \Im F : M \to \mathbb{R}^3$$

are conformal harmonic (hence minimal) immersions into \mathbb{R}^3 .

- Conversely, a conformal minimal immersion G: D→ R³ of the disc
 D = {z ∈ C: |z| < 1} is the real part of a holomorphic null curve
 F: D → C³. (This fails on non-simply connected Riemann surfaces due to the period problem for the harmonic conjugate.)
- If $F = G + iH \colon M \to \mathbb{C}^3$ is a null curve then

$$F^*ds_{\mathbb{C}^3}^2 = 2G^*ds_{\mathbb{R}^3}^2 = 2H^*ds_{\mathbb{R}^3}^2.$$

 It follows that the real and the imaginary part of a complete null curve in C³ are complete conformal minimal surfaces in R³.

The calculation

Let F = G + iH = (F¹, F², F³) : M → C³ be a holomorphic null curve and ζ = x + iy a local holomorphic coordinate on M. Then

$$0 = \sum_{j=1}^{3} (F_{\zeta}^{j})^{2} = \sum_{j=1}^{3} (F_{x}^{j})^{2} = \sum_{j=1}^{3} \left(G_{x}^{j} + iH_{x}^{j} \right)^{2}$$
$$= \sum_{j=1}^{3} \left((G_{x}^{j})^{2} - (H_{x}^{j})^{2} \right) + 2i \sum_{j=1}^{3} G_{x}^{j} H_{x}^{j}.$$

• Since $H_x = -G_y$ by the CR equations, this reads

 $0 = |G_x|^2 - |G_y|^2 - 2i G_x \cdot G_y \iff |G_x| = |G_y|, \ G_x \cdot G_y = 0.$

• It follows that *G* is conformal harmonic and $F^* ds_{\mathbb{C}^3}^2 = |F_x|^2 (dx^2 + dy^2) = 2|G_x|^2 (dx^2 + dy^2) = 2G^* ds_{\mathbb{R}^3}^2 = 2H^* ds_{\mathbb{R}^3}^2.$ **Example:** The **catenoid** and the **helicoid** are conjugate minimal surfaces – the real and the imaginary part of the same null curve

$$F(\zeta) = (\cos \zeta, \sin \zeta, -\iota \zeta), \qquad \zeta = x + \iota y \in \mathbb{C}.$$

Consider the family of minimal surfaces ($t \in \mathbb{R}$):

$$G_t(\zeta) = \Re \left(e^{it} F(\zeta) \right)$$

= $\cos t \left(\frac{\cos x \cdot \cosh y}{\sin x \cdot \cosh y} + \sin t \left(\frac{\sin x \cdot \sinh y}{-\cos x \cdot \sinh y} \right) \right)$

At t = 0 we have a catenoid, and at $t = \pm \pi/2$ we have a (left or right handed) helicoid.

Helicatenoid (Source: Wikipedia)

The family of minimal surfaces $G_t(\zeta) = \Re \left(e^{tt} F(\zeta) \right), t \in \mathbb{R}$:

The first main result

This shows that the existence of complete bounded conformal minimal immersions $M \to \mathbb{R}^3$ follows from part (B) of the following result.

Theorem

Let M be a bordered Riemann surface.

- (A) There exists a proper complete holomorphic immersion $M \to \mathbb{B}^2$ into the unit ball of \mathbb{C}^2 .
- (B) There exists a proper complete null holomorphic embedding $F: M \hookrightarrow \mathbb{B}^3$ into the unit ball of \mathbb{C}^3 .

(B) answers a question of Martín, Umehara and Yamada (2009).

Part (A) holds for immersions into any Stein manifold (X, ds^2) of dimension > 1 with a chosen Riemannian metric.

[A. Alarcón, F. Forstnerič: Every bordered Riemann surface is a complete proper curve in a ball. Math. Ann. 2013]

1985 Løw Every strongly pseudoconvex Stein domain *M* admits a proper holomorphic embedding $\phi : M \to \mathbb{D}^m$ into a polydisc.

Let $h: \mathbb{D} \to \mathbb{B}^2$ be a complete proper holomorphic immersion. Then

$$H: \mathbb{D}^m \to (\mathbb{B}^2)^m \subset \mathbb{C}^{2m}, \quad H(z_1, \ldots, z_m) = (h(z_1), \ldots, h(z_m))$$

is a complete proper holomorphic immersion. Similarly we get complete proper holomorphic embeddings $\mathbb{D}^m \to (\mathbb{B}^3)^m$. Hence $F = H \circ \phi : M \to (\mathbb{B}^2)^m$ is a complete proper immersion.

Corollary

Every strongly pseudoconvex Stein domain admits a complete bounded holomorphic embedding into \mathbb{C}^N for large N.

A brief history of the Calabi-Yau problem

- 1965 **E. Calabi** conjectured that there does not exist any complete minimal surface in \mathbb{R}^3 with a bounded coordinate function.
- 1977 **P. Yang** asked whether there exist any complete bounded complex submanifolds of \mathbb{C}^n for n > 1. Note that complex submanifolds of complex Euclidean spaces are minimal.
- 1979 **P. Jones** constructed a complete bounded holomorphic immersion $\mathbb{D} \to \mathbb{C}^2$ of the disc, using BMO methods.
- 1980 L.P. Jorge & F. Xavier constructed complete minimal surfaces in \mathbb{R}^3 with a bounded coordinate function, thereby disproving Calabi's conjecture.
- 1996 N. Nadirashvili constructed a complete bounded conformal minimal immersion $\mathbb{D} \to \mathbb{R}^3$, hence a complete null curve in \mathbb{C}^3 . His technique cannot be refined to control the imaginary part.

A brief history...continued

- 2000 **S.-T. Yau: Review of geometry and analysis** ("The Millenium Lecture"). Mathematics: frontiers and perspectives, AMS. The problem became known as the **Calabi-Yau problem**.
- 2008 **T.H. Colding and W.P. Minicozzi II:** An embedded complete minimal surface $M \hookrightarrow \mathbb{R}^3$ with finite genus and at most countably many ends is proper in \mathbb{R}^3 , and *M* is algebraic.
- 2009 **F. Martín, M. Umehara and K. Yamada** constructed complete bounded holomorphic curves in \mathbb{C}^2 with arbitrary finite topology.
- 2012 L. Ferrer, F. Martín and W.H. Meeks found complete bounded minimal surfaces in \mathbb{R}^3 with arbitrary topology.
- 2013 A. Alarcón and F.J. Lopez: Examples of (i) complete bounded null curves in \mathbb{C}^3 , (ii) complete bounded immersed holomorphic curves in \mathbb{C}^2 with arbitrary topology, and (iii) complete bounded *embedded* holomorphic curves in \mathbb{C}^2 .

• The directional variety of null curves:

$$A = \{(z_1, z_2, z_3) \in \mathbb{C}^3 \colon z_1^2 + z_2^2 + z_3^2 = 0\}$$

• *A* is a complex cone with vertex at 0; $A^* = A \setminus \{0\}$ is smooth.

•
$$L = \{ [z_1; z_2 : z_3] \in \mathbb{C}^3 : z_1^2 + z_2^2 + z_3^2 = 0 \} \cong \mathbb{CP}^1 \hookrightarrow \mathbb{CP}^2.$$

- $pr: A^* \to L$ is a holomorphic fiber bundle with fiber \mathbb{C}^* .
- It follows that *A*^{*} is an **Oka manifold**.
- The spinor representation:

$$\pi\colon \mathbb{C}^2\to A, \quad \pi(u,v)=\big(u^2-v^2,i(u^2+v^2),2uv\big).$$

The map $\pi \colon \mathbb{C}^2 \setminus \{0\} \to A^*$ is a nonramified two-sheeted covering.

Construction of holomorphic null curves

Let *M* be a bordered Riemann surface. Fix a nowhere vanishing holomorphic 1-form θ on *M*; such exists by the Oka-Grauert principle. There is a bijective correspondence (up to constants)

 $\{F: M \to \mathbb{C}^3 \text{ null curve}\} \longleftrightarrow \{f: M \to A^* \text{ holomorphic, } f\theta \text{ exact}\}$

$$F(x) = F(p) + \int_{p}^{x} f\theta, \qquad dF = f\theta.$$

Theorem (The Oka principle for null curves)

Every continuous map $f_0: M \to A^*$ of an open Riemann surface M to A^* is homotopic to a holomorphic map $f: M \to A^*$ such that $f\theta$ has vanishing periods. Furthermore, a generic null curve is an embedding. The same holds whenever $A^* \subset \mathbb{C}^n$, $n \geq 3$, is an Oka manifold.

[A. Alarcón, F. Forstnerič: Null curves and directed immersions of open Riemann surfaces. Inventiones Math., in press]

Idea of the construction of complete bounded holomorphic immersions - Pythagora's theorem

- Let *F*₀: *M*→ Cⁿ be a holomorphic immersion satisfying |*F*₀| ≥ *r*₀ > 0 on *bM*. We try to increase the boundary distance on *M* with respect to the induced metric by a fixed number δ > 0.
- To this end, we approximate F₀ uniformly on a compact set in M by an immersion F₁ : M→ Cⁿ which at a point x ∈ bM adds a displacement for approximately δ in a direction V ∈ Cⁿ, |V| = 1, approximately orthogonal to the point F₀(x) ∈ Cⁿ. The boundary distance increases by ≈ δ, while the outer radius increases to

$$|F_1(x)| \approx \sqrt{|F_0(x)|^2 + \delta^2} \approx |F_0(x)| + \frac{\delta^2}{2|F_0(x)|} \le |F_0(x)| + \frac{\delta^2}{2r_0}$$

• By choosing a sequence $\delta_j > 0$ such that $\sum_j \delta_j = +\infty$ while $\sum_j \delta_j^2 < \infty$, we obtain by induction a limit immersion $F \colon M \to \mathbb{C}^n$ with bounded outer radius and with complete metric $F^* ds^2$.

The main tools

- This idea can be realized on short arcs *I* ⊂ *bM*, on which *F*₀ does not vary too much, by solving a **Riemann-Hilbert problem**.
- Globally this method alone could lead to 'sliding curtains', creating shortcuts in the new induced metric on M.
- To localize the problem and eliminate any shortcuts, we subdivide bM = ∪_j l_j into a finite union of short arcs such that two adjacent arcs l_{j-1}, l_j meet at a common endpoint x_j. At the point p_j = F(x_j) ∈ Cⁿ we attach to F₀(M) a smooth real curve λ_j of length δ whose other endpoint q_j increases the outer radius by δ².
- By the method of exposing boundary points we modify the immersion so that F₀(x_j) = q_j. Hence any curve in *M* terminating on *bM* near x_j is elongated by approximately δ > 0.
- In the next step we use a Riemann-Hilbert problem to increase the boundary distance on the arcs I_j by approximately δ . These local modifications are glued together by the method of sprays.

Theorem (Riemann-Hilbert problem for null discs)

Let $F_0: \overline{\mathbb{D}} \to \mathbb{C}^3$ be a null holomorphic immersion, let $V \in A^*$, let $\mu: b\mathbb{D} \to [0, +\infty)$ be a continuous function, and consider the map

 $Y: b\mathbb{D} imes \overline{\mathbb{D}} o \mathbb{C}^3, \quad Y(\zeta, z) = F_0(\zeta) + \mu(\zeta) zV.$

Given numbers $\varepsilon > 0$ and 0 < r < 1, there exist a number $r' \in [r, 1)$ and a null holomorphic immersion $F : \overline{\mathbb{D}} \to \mathbb{C}^3$ satisfying the following:

- dist($F(\zeta)$, $Y(\zeta, b\mathbb{D})$) < ε for $\zeta \in b\mathbb{D}$.
- dist $(F(\rho\zeta), Y(\zeta, \overline{\mathbb{D}})) < \varepsilon$ for $\zeta \in b\mathbb{D}$ and $\rho \in [r', 1)$.
- *F* is ε -close to F_0 in the \mathscr{C}^1 topology on $\{\zeta \in \mathbb{C} : |\zeta| \le r'\}$.

Furthermore, if J is a compact arc in $b\mathbb{D}$ such that μ vanishes on $b\mathbb{D} \setminus J$, and U is an open neighborhood of J in $\overline{\mathbb{D}}$, then

• one can choose F to be ε -close to F_0 in the \mathscr{C}^1 topology on $\overline{\mathbb{D}} \setminus U$.

Proof

Consider the unbranched two-sheeted holomorphic covering

$$\pi\colon \mathbb{C}^2\setminus\{(0,0)\}\to A^*, \quad \pi(u,v)=\big(u^2-v^2, i(u^2+v^2), 2uv\big).$$

Since $\overline{\mathbb{D}}$ is simply connected, the map $F'_0 : \overline{\mathbb{D}} \to A^*$ lifts to a map $(u, v) : \overline{\mathbb{D}} \to \mathbb{C}^2 \setminus \{(0, 0)\}$. Hence we have

$$\begin{array}{lll} F_0' &=& \pi(u,v) = \left(u^2 - v^2, i(u^2 + v^2), 2uv\right) \in A^* \\ V &=& \pi(a,b) = \left(a^2 - b^2, i(a^2 + b^2), 2ab\right) \in A^* \\ \eta &=& \sqrt{\mu} \colon b\mathbb{D} \to [0,\infty) \\ \eta(\zeta) &\approx& \tilde{\eta}(\zeta) = \sum_{j=1}^N A_j \zeta^{j-m} \ \text{(rational approximation)} \\ \mu(\zeta) &\approx& \tilde{\eta}^2(\zeta) = \sum_{j=1}^{2N} B_j \zeta^{j-2m}. \end{array}$$

26/33

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For any integer $n \in \mathbb{N}$ we consider the following functions and maps

$$\begin{array}{lll} u_n(\xi) &=& u(\xi) + \sqrt{2n+1} \, \tilde{\eta}(\xi) \xi^n a, \\ v_n(\xi) &=& v(\xi) + \sqrt{2n+1} \, \tilde{\eta}(\xi) \xi^n b, \\ \Phi_n(\xi) &=& \pi(u_n(\xi), v_n(\xi)) = \left(u_n^2 - v_n^2, i(u_n^2 - v_n^2), 2u_n v_n \right) \colon \overline{\mathbb{D}} \to A^*, \\ F_n(\zeta) &=& F_0(0) + \int_0^{\zeta} \Phi_n(\xi) \, d\xi, \qquad \zeta \in \overline{\mathbb{D}}. \end{array}$$

Then $F_n \colon \overline{\mathbb{D}} \to \mathbb{C}^3$ is a null disc of the form

$$F_n(\zeta) = F_0(\zeta) + \mathbf{B}_n(\zeta) \, V + \mathbf{A}_n(\zeta).$$

Proof-continued

The \mathbb{C} -valued term **B**_n equals

$$\mathbf{B}_{n}(\zeta) = (2n+1) \sum_{j=1}^{2N} \int_{0}^{\zeta} B_{j} \xi^{2n+j-2m} d\xi$$

$$= \sum_{j=1}^{2N} \frac{2n+1}{2n+1+j-2m} B_{j} \zeta^{2n+1+j-2m}.$$

Since the coefficients (2n+1)/(2n+1+j-2m) in the sum for **B**_n converge to 1 as $n \rightarrow +\infty$, we have

$$\sup_{|\zeta|\leq 1} \left| \mathbf{B}_n(\zeta) - \zeta^{2n+1} \tilde{\eta}^2(\zeta) \right| \to 0 \quad \text{as } n \to \infty.$$

Proof-continued

The remainder \mathbb{C}^3 -valued term **A**_{*n*}(ζ) equals

$$\begin{aligned} \mathbf{A}_{n}(\zeta) &= 2\sqrt{2n+1} \int_{0}^{\zeta} \sum_{j=1}^{N} A_{j} \xi^{n+j-m} \big(u(\xi)(a, \iota a, b) + v(\xi)(-b, \iota b, a) \big) d\xi \\ \mathbf{A}_{n}(\zeta) &\leq 2\sqrt{2n+1} C_{0} \sum_{j=1}^{N} |A_{j}| \int_{0}^{|\zeta|} |\xi|^{n+j-m} d|\xi| \\ &\leq 2C_{0} \sum_{j=1}^{N} \frac{\sqrt{2n+1}}{n+1+j-m} |A_{j}|. \end{aligned}$$

It follows that $|\mathbf{A}_n| \to 0$ uniformly on $\overline{\mathbb{D}}$ as $n \to +\infty$. Hence

$$F_n(\zeta) \approx F_0(\zeta) + \zeta^{2n+1} \tilde{\mu}(\zeta) V, \qquad \zeta \in \overline{\mathbb{D}}.$$

The theorem follows from this estimate.

Null curves with a bounded coordinate

The Riemann-Hilbert problem for null curves also gives the following.

Theorem

Every bordered Riemann surface M carries a proper holomorphic null embedding $F = (F_1, F_2, F_3) : M \to \mathbb{C}^3$ such that the function F_3 is bounded on M. (Thus $(F_1, F_2) : M \to \mathbb{C}^2$ is a proper map.)

- This contrasts the theorem of Hoffman and Meeks (1990) that the only properly immersed minimal surfaces in \mathbb{R}^3 contained in a half-space are planes.
- This result has a nontrivial line of corollaries. A null curve in SL₂(ℂ) is a holomorphic immersion F: M → SL₂(ℂ) of an open Riemann surface M which is directed by the variety

$$\mathscr{B} = \left\{ z = \begin{pmatrix} z_{11} & z_{12} \\ z_{21} & z_{22} \end{pmatrix} : \det z = z_{11}z_{22} - z_{12}z_{21} = 0 \right\} \subset \mathbb{C}^4.$$

Null curves in $SL_2(\mathbb{C})$

• The biholomorphic map $\mathscr{T} : \mathbb{C}^3 \setminus \{z_3 = 0\} \to SL_2(\mathbb{C}) \setminus \{z_{11} = 0\},\$

$$\mathscr{T}(z_1, z_2, z_3) = \frac{1}{z_3} \begin{pmatrix} 1 & z_1 + iz_2 \\ z_1 - iz_2 & z_1^2 + z_2^2 + z_3^2 \end{pmatrix},$$

carries null curves into null curves.

Furthermore, if F = (F₁, F₂, F₃): M → C³ is a proper null curve such that 1/2 < |F₃| < 1 on M, then G = 𝔅 ∘ F : M → SL₂(C) is a proper null curve in SL₂(C). This proves the following.

Corollary

Every bordered Riemann surface carries a proper holomorphic null embedding into $SL_2(\mathbb{C})$.

Bryant surfaces in hyperbolic 3-space

 The projection of a null curve in SL₂(ℂ) to the hyperbolic 3-space ℋ³ = SL₂(ℂ)/SU(2) is a Bryant surface, i.e., a conformally immersed surface with constant mean curvature one in ℋ³.

Corollary

Every bordered Riemann surface is conformally equivalent to a properly immersed Bryant surface in the hyperbolic 3-space \mathcal{H}^3 .

- 2002 **Collin-Hauswirth-Rosenberg** Properly *embedded* Bryant surfaces in \mathscr{H}^3 of finite topology have finite total curvature and regular ends. Hence our examples cannot embedded.
 - To the best of our knowledge, these are the first examples of proper null curves in SL₂(C), and Bryant surfaces in H³, with finite topology and hyperbolic conformal structure.

A few open problems

- Does there exist a complete bounded holomorphic embedding
 D → C² of the disc? Of an arbitrary bordered Riemann surface?
- Does there exist a proper minimal conformal immersion *M* → B³ of an arbitrary bordered Riemann surface *M*?
- Is it possible to immerse or embed the ball B² ⊂ C² as a complete bounded complex submanifold of C³, C⁴,...
- **Conjecture** (well known, likely very difficult): An orientable surface of finite topology with genus g and m ends properly embeds in \mathbb{R}^3 as a minimal surface if and only if $m \le g+2$.
- Calabi's conjecture is still open in dimensions n > 3: Do there exist complete bounded minimal hypersurfaces of ℝⁿ when n > 3?