

DETERMINANTAL REPRESENTATIONS OF SMOOTH CUBIC SURFACES

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ABSTRACT. For every smooth (irreducible) cubic surface S we give an explicit construction of a representative for each of the 72 equivalence classes of determinantal representations. Equivalence classes (under $\mathrm{GL}_3 \times \mathrm{GL}_3$ action by left and right multiplication) of determinantal representations are in one to one correspondence with the sets of six mutually skew lines on S and with the 72 (two-dimensional) linear systems of twisted cubic curves on S . Moreover, if a determinantal representation M corresponds to lines (a_1, \dots, a_6) then its transpose M^t corresponds to lines (b_1, \dots, b_6) which together form a Schläfli's double-six $\begin{pmatrix} a_1 \dots a_6 \\ b_1 \dots b_6 \end{pmatrix}$. We also discuss the existence of self-adjoint and definite determinantal representation for smooth real cubic surfaces. The number of these representations depends on the Segre type F_i . We show that a surface of type F_i , $i = 1, 2, 3, 4$ has exactly $2(i-1)$ nonequivalent self-adjoint determinantal representations none of which is definite, while a surface of type F_5 has 24 nonequivalent self-adjoint determinantal representations, 16 of which are definite.

1. INTRODUCTION

In this paper we study determinantal representations of nonsingular (smooth and irreducible) cubic surfaces. Our first objective is to explicitly construct the correspondence in the following classical result which is, for instance, stated in [1, Cor. 6.4]. This construction originates in Clebsch [5]. It was probably known already in the 19th century, but it is difficult to find a modern reference.

Theorem 1.1. *A smooth cubic surface S in \mathbb{P}^3 allows exactly 72 nonequivalent determinantal representations. There is a one-to-one correspondence between:*

- *equivalence classes of determinantal representations of S ,*
- *linear systems of twisted cubic curves on S ,*
- *sets of six lines on S that do not intersect each other.*

Our second objective is to use the explicit construction and study the existence and number of self-adjoint determinantal representations of smooth real cubic surfaces. Such a representation exists if and only if there is a mutually self-conjugate double-six on S . Our main results are the following: Depending on the Segre type F_i , $i = 1, 2, 3, 4, 5$, a smooth real cubic surface has 0, 2, 4, 6 or 24, respectively, nonequivalent self-adjoint determinantal representations. Surfaces of types F_1, \dots, F_4 have no definite determinantal representations, while a surface of type F_5 has 16 definite determinantal representations.

The theory of cubic surfaces has a long history. (See [10] for a brief outline of the history.) It is known since 1849 [4] that a smooth cubic surface contains 27 lines. This discovery is one of the first results on surfaces of higher degree and is considered by many as the start of modern algebraic geometry. Many mathematicians contributed to the understanding of rich geometry of smooth cubic surfaces. We refer to Henderson [15] or Reid [20] for the geometry of the 27 lines and to Hilbert

and Cohn-Vossen [16, §25] for the geometry of a Schläfli's double-six. It may be hard to find modern references on the geometry of cubic surfaces (an exception being Dolgachev's lecture notes [11] available on the web) and many of the results have been known in the 19th century [5, 7, 22]. A great source for the geometry of real cubic surfaces is Segre [23].

The history of determinantal representations is shorter. Still, Dixon [9] showed in 1902 that every curve has a determinantal representation. Dickson [8] considered determinantal representations of general varieties and showed, among other, that every smooth cubic surface has a determinantal representation. Later, determinantal representations in general were studied by many authors, for instance [1, 6, 21], and those of cubic surfaces for instance by [2, 3, 12]. Recently, Vinnikov gave a complete description of equivalence classes of determinantal representations for smooth curves [26] and of self-adjoint and definite determinantal representations of smooth real curves [25, 27]. Brundu and Logar [2, 3] considered singular cubic surfaces and showed that all, except those containing one line only, have determinantal representations.

Our main motivation to study determinantal representations comes from possible application to multiparameter spectral theory [18]. Classification of (selfadjoint) determinantal representations of algebraic hypersurfaces is equivalent to simultaneous classification of tuples of (selfadjoint) matrices. Classically, determinantal representations were used to study the rationality of varieties. Vinnikov [26, 27] stressed another very important motivation to study self-adjoint and definite determinantal representations. They appear as determinantal representations of discriminant varieties in the theory of commuting nonselfadjoint operators in a Hilbert space [19].

To conclude the introduction we give a brief outline of the paper. In the second section we review the geometry of the 27 lines that we use in the construction. In the third section we give the explicit construction for the correspondence between a set of six skew lines on a cubic surface and a determinantal representation in a special form, which we call a representation of type \mathfrak{R} . In the fourth section we construct the correspondence between equivalence classes of determinantal representations and systems of twisted cubic curves on S . As an example we consider the Fermat cubic surface. In sections 5 and 6 we present our results on self-adjoint and definite determinantal representations of smooth real cubic surfaces.

2. CLASSICAL PROJECTIVE GEOMETRY OF THE 27 LINES

Let k be an algebraically closed field and S a projective cubic surface defined by a smooth polynomial $F(z_0, z_1, z_2, z_3)$ of degree 3 over k . We will briefly state some classical results in order to introduce the notation.

The most elegant way to study curves on S (our particular interest will be in lines and twisted cubics) is by defining S as a blow up of 6 points in the plane, no three collinear and not on a conic. Every nonsingular cubic surface in \mathbb{P}^3 can be obtained this way. Namely, the linear system of plane cubic curves through assigned points P_1, \dots, P_6 defines an embedding of S in \mathbb{P}^3 . This way the study of curves on S reduces to study of certain plane curves. The 27 lines on S are then the following (see e.g. [14, Theorem V.4.8.]):

- a_1, \dots, a_6 are the exceptional lines,
- c_{ij} is the strict transform of the line through P_i and P_j in \mathbb{P}^2 , where $1 \leq i < j \leq 6$.

- b_1, \dots, b_6 , with b_j being the strict transform of the plane conic through the five P_i , $i \neq j$.

Observe that a_1, \dots, a_6 are mutually skew, b_1, \dots, b_6 , are mutually skew and a_i intersects b_j if and only if $i \neq j$. Every configuration of 12 lines on S with this property is called a *Schläfli's double-six*. Every smooth cubic surface S contains 36 double-sixes of lines. The 27 lines have a high degree of symmetry: for any set l_1, \dots, l_6 of mutually skew lines on S there exist 6 points in \mathbb{P}^2 and a blow-up for which l_1, \dots, l_6 are the exceptional lines. Proof of this can be found in [14, Proposition V.4.10.]. These lines then uniquely determine another set of 6 mutually skew lines to form together a double-six. Using the above notation the double-sixes on S are:

$$\begin{pmatrix} a_1 & \dots & a_6 \\ b_1 & \dots & b_6 \end{pmatrix}, \begin{pmatrix} a_i & b_i & c_{kl} & c_{km} & c_{kn} & c_{kp} \\ a_k & b_k & c_{il} & c_{im} & c_{in} & c_{ip} \end{pmatrix}, \begin{pmatrix} a_i & a_k & a_l & c_{mn} & c_{mp} & c_{np} \\ c_{kl} & c_{il} & c_{ik} & b_p & b_n & b_m \end{pmatrix}.$$

Here i, k, l, m, n, p are all distinct. Let us also mention that a *half of a double six*, i.e., a set of six lines from any three columns of a double-six, belongs to a uniquely determined double-six. This can be easily seen by checking the list of all double-sixes.

There are many more interesting properties of the configuration of 27 lines. A plane intersecting S in three lines is called a *tritangent plane*. The plane

$$(1) \quad \pi_{ij} = \langle b_i, a_j \rangle$$

spanned by concurrent lines b_i, a_j intersects the cubic surface S in another line c_{ij} . Note that $\pi_{ji} = \langle b_j, a_i \rangle$ intersects S in the same line c_{ij} . (See for instance [20, §7].) Every line on S lies exactly on 5 tritangent planes. In the sequel we will use the same symbol for the plane and for its defining linear form. So, the plane π is defined by the equation $\pi = 0$.

3. DETERMINANTAL REPRESENTATIONS VERSUS SIXES OF SKEW LINES

A *determinantal representation* of S is a 3×3 matrix of linear forms

$$M = M(z_0, z_1, z_2, z_3) = z_0 M_0 + z_1 M_1 + z_2 M_2 + z_3 M_3$$

satisfying

$$\det M = cF,$$

where $M_0, M_1, M_2, M_3 \in \text{Mat}_3(k)$ and $c \in k$, $c \neq 0$.

Every nonsingular cubic surface S has a determinantal representation. We will use the relation between a determinantal representation and six points of the blow-up that gives S , which can be found in the proof of the existence of a determinantal representation M in [12]. Consider the 4-dimensional linear system of plane cubic curves through 6 assigned points $P_i = (\zeta_i, \eta_i, \xi_i)$, $i = 1, \dots, 6$. A basis F_1, F_2, F_3, F_4 of the linear system defines a blow-up of $\mathbb{P}^2(x_0, x_1, x_2)$. By the Hilbert-Burch theorem there exists a 3×4 matrix L of linear forms in x_0, x_1, x_2 whose minors are F_1, F_2, F_3, F_4 . Note that L has generically rank 3 and it has rank 2 exactly at points P_1, \dots, P_6 . Define a 3×3 linear matrix M in variables z_0, z_1, z_2, z_3 by

$$(2) \quad M \cdot \begin{pmatrix} x_0 \\ x_1 \\ x_2 \end{pmatrix} = L \cdot \begin{pmatrix} z_0 \\ z_1 \\ z_2 \\ z_3 \end{pmatrix}.$$

One can easily check that M is a determinantal representation of S .

Conversely, to a given determinantal representation M we can assign L by (2) above. The rank of L in $P = (\zeta, \eta, \xi) \in \mathbb{P}^2$ equals 2 if and only if the three planes in \mathbb{P}^3 with equations

$$M \cdot \begin{pmatrix} \zeta \\ \eta \\ \xi \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$$

intersect in a line. Note that the lines obtained this way are exactly the exceptional lines of the blow-up [12]. They are mutually skew and we call them the *six skew lines corresponding to determinantal representation M* .

Two determinantal representations M and M' are *equivalent* if there exist $X, Y \in \mathrm{GL}_3(k)$ such that

$$M' = XMY.$$

Lemma 3.1. *If the determinantal representations M and M' are equivalent then the corresponding sets of 6 skew lines are equal.*

Proof. Let a_1, \dots, a_6 be the lines corresponding to M over points $P_i = (\zeta_i, \eta_i, \xi_i)$, $i = 1, \dots, 6$ as above and let $M' = XMY$ with $X, Y \in \mathrm{GL}_3(k)$. Then

$$\mathcal{L}in \left\{ M'Y^{-1} \begin{pmatrix} \zeta_i \\ \eta_i \\ \xi_i \end{pmatrix} \right\} = \mathcal{L}in \left\{ XM \begin{pmatrix} \zeta_i \\ \eta_i \\ \xi_i \end{pmatrix} \right\} = \mathcal{L}in \left\{ M \begin{pmatrix} \zeta_i \\ \eta_i \\ \xi_i \end{pmatrix} \right\}$$

and so the line over P_i for M is the same as the line over $Y^{-1}P_i$ for M' . \square

In the rest of the section we will explicitly construct all (up to equivalence) determinantal representations from the 27 lines on S .

We fix an arbitrary set of six mutually skew lines on S . Without loss of generality name them a_1, \dots, a_6 . There is a unique set of 6 skew lines on S such that

$$\begin{pmatrix} a_1 & \dots & a_6 \\ b_1 & \dots & b_6 \end{pmatrix}$$

is a double-six. Consider the tritangent planes

$$\pi_{12}, \pi_{23}, \pi_{31}, \pi_{13}, \pi_{21}, \pi_{32}$$

defined in (1). On S they intersect in 9 lines

$$a_1, a_2, a_3, b_1, b_2, b_3, c_{12}, c_{13}, c_{23}$$

which together with another point on S outside these lines determine F . This follows from the fact that cubic surfaces are parametrized by the points in \mathbb{P}^{19} , whereas 9 lines and a point induce $2 \cdot 9 + 1 = 19$ conditions. Then $F = \pi_{12}\pi_{23}\pi_{31} + \lambda\pi_{13}\pi_{21}\pi_{32}$ for some nonzero $\lambda \in k$, and we may without loss assume that $\lambda = 1$. Thus

$$(3) \quad F = \pi_{12}\pi_{23}\pi_{31} + \pi_{13}\pi_{21}\pi_{32} \quad \text{and} \quad \mathfrak{R} = \begin{pmatrix} 0 & \pi_{12} & \pi_{13} \\ \pi_{21} & 0 & \pi_{23} \\ \pi_{31} & \pi_{32} & 0 \end{pmatrix}.$$

Note that \mathfrak{R} is a determinantal representation of S . We say that a determinantal representation is *of type \mathfrak{R}* if it is in the above form. Similar form was considered in [17, §1.6].

Proposition 3.2. *The two sets of six skew lines corresponding to \mathfrak{R} and to its transpose \mathfrak{R}^t form the double-six $\begin{pmatrix} a_1 \dots a_6 \\ b_1 \dots b_6 \end{pmatrix}$, which was our construction's input data.*

Proof. From the construction it is obvious that the intersections of planes corresponding to

$$\mathfrak{R} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \mathfrak{R} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \mathfrak{R} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

are a_1, a_2, a_3 , respectively, and those corresponding to

$$\mathfrak{R}^t \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \mathfrak{R}^t \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \mathfrak{R}^t \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

are b_1, b_2, b_3 , respectively.

There exist three more points $(\zeta_k, \eta_k, \xi_k) \in \mathbb{P}^2$, $k = 4, 5, 6$ such that the intersections of planes given by

$$\mathfrak{R} \begin{pmatrix} \zeta_4 \\ \eta_4 \\ \xi_4 \end{pmatrix}, \mathfrak{R} \begin{pmatrix} \zeta_5 \\ \eta_5 \\ \xi_5 \end{pmatrix}, \mathfrak{R} \begin{pmatrix} \zeta_6 \\ \eta_6 \\ \xi_6 \end{pmatrix}$$

induce skew lines l_4, l_5, l_6 , respectively.

Observe that

$$a_2 \subset \pi_{12}, \quad a_3 \subset \pi_{13}, \quad l_4 \subset \eta_4\pi_{12} + \xi_4\pi_{13}, \quad l_5 \subset \eta_5\pi_{12} + \xi_5\pi_{13}, \quad l_6 \subset \eta_6\pi_{12} + \xi_6\pi_{13}$$

and that b_1 lies on all these tritangent planes. These planes are all different since they contain different lines that are skew. Because every two lines in a plane intersect, b_1 intersects a_2, a_3, l_4, l_5, l_6 and is disjoint with a_1 . In the same way we prove that b_2 intersects a_1, a_3, l_4, l_5, l_6 , but not a_2 , and that b_3 intersects a_1, a_2, l_4, l_5, l_6 , but not a_3 .

Applying the same arguments to \mathfrak{R}^t yields 6 mutually skew lines $b_1, b_2, b_3, l'_4, l'_5, l'_6$ and relations: a_1 intersects $b_2, b_3, l'_4, l'_5, l'_6$, but not b_1 , a_2 intersects $b_1, b_3, l'_4, l'_5, l'_6$, but not b_2 , a_3 intersects $b_1, b_2, l'_4, l'_5, l'_6$, but not b_3 . These relations are fulfilled if and only if the above lines form a double-six and the remaining lines l_4, l_5, l_6 and l'_4, l'_5, l'_6 have to be equal to a_4, a_5, a_6 and b_4, b_5, b_6 , respectively. (See Section 2.) \square

We have set everything needed to prove the converse of Lemma 3.1. We will show that every determinantal representation of S is equivalent to one of type \mathfrak{R} .

Proposition 3.3. *If determinantal representations M and M' of S define the same set of six skew lines then they are equivalent.*

Proof. Let a_1, \dots, a_6 be the set of lines corresponding to M over the points $P_i = (\zeta_i, \eta_i, \xi_i)$, $i = 1, \dots, 6$. It suffices to prove that M is equivalent to the representation \mathfrak{R} . We will prove the statement by explicitly constructing the equivalence.

Consider representation

$$M' = M \begin{pmatrix} \zeta_1 & \zeta_2 & \zeta_3 \\ \eta_1 & \eta_2 & \eta_3 \\ \xi_1 & \xi_2 & \xi_3 \end{pmatrix},$$

which is equivalent to M . Since the three planes in \mathbb{P}^3 given by linear equations

$$M' \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} m'_{11} \\ m'_{21} \\ m'_{31} \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$$

intersect in a line (a_1 actually), there exists a nontrivial linear combination

$$\alpha_1 m'_{11} + \beta_1 m'_{21} + \gamma_1 m'_{31} = 0,$$

i.e., $\alpha_1, \beta_1, \gamma_1 \in k$ are not all equal to zero. After multiplying M' from the left by an invertible constant matrix

$$A_1 = \begin{pmatrix} \alpha_1 & \beta_1 & \gamma_1 \\ * & * & * \\ * & * & * \end{pmatrix}$$

we obtain 0 in the (1,1)th entry.

Observe that the (1,2)th and (1,3)th entries of $A_1 M'$ are nonzero since F is irreducible. The planes in the second column of $A_1 M'$ again intersect in a line, namely a_2 . Therefore there exists a nontrivial combination of these planes with coefficients $(\alpha_2, \beta_2, \gamma_2)$ different from a multiple of $(1, 0, 0)$. By multiplying $A_1 M'$ from the left by an invertible matrix

$$A_2 = \begin{pmatrix} 1 & 0 & 0 \\ \alpha_2 & \beta_2 & \gamma_2 \\ * & * & * \end{pmatrix}$$

we obtain 0 on the (2,2)th place.

Observe that the (1,3)th and (2,3)th entry of $A_2 A_1 M'$ are not proportional, and thus both nonzero. By the same argument as above, there exists a linear combination with coefficients $(\alpha_3, \beta_3, \gamma_3)$ of the entries of third column of $A_2 A_1 M'$ with $\gamma_3 \neq 0$.

Finally,

$$A_3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ \alpha_3 & \beta_3 & \gamma_3 \end{pmatrix}$$

is such that

$$M'' = A_3 A_2 A_1 M' = \begin{pmatrix} 0 & m''_{12} & m''_{13} \\ m''_{21} & 0 & m''_{23} \\ m''_{31} & m''_{32} & 0 \end{pmatrix}$$

has zeros along the diagonal.

Since M'' is equivalent to M' the intersections of planes given by the entries of

$$M'' \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, M'' \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, M'' \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

induce the lines a_1, a_2, a_3 , respectively, and there exist three more points in \mathbb{P}^2 , namely

$$\begin{pmatrix} \zeta''_k \\ \eta''_k \\ \xi''_k \end{pmatrix} = \begin{pmatrix} \zeta_1 & \zeta_2 & \zeta_3 \\ \eta_1 & \eta_2 & \eta_3 \\ \xi_1 & \xi_2 & \xi_3 \end{pmatrix}^{-1} \begin{pmatrix} \zeta_k \\ \eta_k \\ \xi_k \end{pmatrix}, \quad k = 4, 5, 6,$$

such that the intersections of planes corresponding to

$$M'' \begin{pmatrix} \zeta''_4 \\ \eta''_4 \\ \xi''_4 \end{pmatrix}, M'' \begin{pmatrix} \zeta''_5 \\ \eta''_5 \\ \xi''_5 \end{pmatrix}, M'' \begin{pmatrix} \zeta''_6 \\ \eta''_6 \\ \xi''_6 \end{pmatrix}$$

induce a_4, a_5, a_6 , respectively.

Consider now the transpose representation $(M'')^t$ and its first corresponding line l defined as intersection of the planes corresponding to the entries of

$$(M'')^t \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ m''_{12} \\ m''_{13} \end{pmatrix}.$$

Observe that

$a_2 \subset m''_{12}, a_3 \subset m''_{13}, a_4 \subset \eta''_4 m''_{12} + \xi''_4 m''_{13}, a_5 \subset \eta''_5 m''_{12} + \xi''_5 m''_{13}, a_6 \subset \eta''_6 m''_{12} + \xi''_6 m''_{13}$ and that l lies on all these tritangent planes. These planes are all different since they contain different lines that are skew. Since every line of S lies on 5 tritangent planes it follows that $l \cap a_1 = \emptyset$, otherwise l and a_1 would span another tritangent plane. Because every two lines in a plane intersect, l intersects a_2, a_3, a_4, a_5, a_6 and is disjoint with a_1 . Therefore $l = b_1$. We continue in the same way to prove that

$$\text{the line defined by } (M'')^t \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \text{ is } b_2$$

and

$$\text{the line defined by } (M'')^t \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \text{ is } b_3.$$

We claim that m''_{ij} yields an equation of the tritangent plane π_{ij} . Indeed, the plane m''_{ij} contains the lines b_i and a_j . The incidence relation can be read from the diagram

$$\begin{array}{ccc} \begin{pmatrix} 0 & m''_{12} & m''_{13} \\ m''_{21} & 0 & m''_{23} \\ m''_{31} & m''_{32} & 0 \end{pmatrix} & \begin{array}{l} \rightarrow \\ \rightarrow \\ \rightarrow \end{array} & \begin{array}{l} b_1 \\ b_2 \\ b_3 \end{array} \\ \begin{array}{ccc} \downarrow & \downarrow & \downarrow \\ a_1 & a_2 & a_3 \end{array} & & \end{array}$$

This means that there exist nonzero $\alpha, \alpha', \alpha'', \beta, \beta', \beta''$ in k such that $\alpha\alpha'\alpha'' = \beta\beta'\beta''$ and

$$\begin{pmatrix} 0 & \pi_{12} & \pi_{13} \\ \pi_{21} & 0 & \pi_{23} \\ \pi_{31} & \pi_{32} & 0 \end{pmatrix} = \begin{pmatrix} 0 & \alpha m''_{12} & \beta m''_{13} \\ \beta' m''_{21} & 0 & \alpha' m''_{23} \\ \alpha'' m''_{31} & \beta'' m''_{32} & 0 \end{pmatrix},$$

which is equal to

$$\begin{pmatrix} \frac{1}{\alpha'} & 0 & 0 \\ 0 & \frac{1}{\beta} & 0 \\ 0 & 0 & \frac{\alpha''}{\beta\beta'} \end{pmatrix} \begin{pmatrix} 0 & m''_{12} & m''_{13} \\ m''_{21} & 0 & m''_{23} \\ m''_{31} & m''_{32} & 0 \end{pmatrix} \begin{pmatrix} \beta\beta' & 0 & 0 \\ 0 & \alpha\alpha' & 0 \\ 0 & 0 & \alpha'\beta \end{pmatrix}.$$

We proved that

$$\mathfrak{R} = \begin{pmatrix} \frac{1}{\alpha'} & 0 & 0 \\ 0 & \frac{1}{\beta} & 0 \\ 0 & 0 & \frac{\alpha''}{\beta\beta'} \end{pmatrix} A_3 A_2 A_1 M \begin{pmatrix} \zeta_1 & \zeta_2 & \zeta_3 \\ \eta_1 & \eta_2 & \eta_3 \\ \xi_1 & \xi_2 & \xi_3 \end{pmatrix} \begin{pmatrix} \beta\beta' & 0 & 0 \\ 0 & \alpha\alpha' & 0 \\ 0 & 0 & \alpha'\beta \end{pmatrix},$$

which shows that M is equivalent to the representation \mathfrak{R} of (3). \square

Remark 3.4. The proof of Proposition 3.3 describes a method how to explicitly construct $X, Y \in \text{GL}_3(k)$ such that XMY is equal to \mathfrak{R} .

Note also that Lemma 3.1 and Proposition 3.3 prove that there is a bijective correspondence between the equivalence classes of determinantal representations of

S and sets of six skew lines on S . The proof provides an explicit construction of the correspondence.

Corollary 3.5. *If the lines a_1, \dots, a_6 correspond to M and the lines b_1, \dots, b_6 correspond to M^t , then a_1, \dots, a_6 and b_1, \dots, b_6 form a double-six.*

Proof. We proved that M is equivalent to \mathfrak{R} because they induce the same 6 lines a_1, \dots, a_6 . Then M^t and \mathfrak{R}^t are also equivalent and by Lemma 3.1 induce the same set of lines b_1, \dots, b_6 . By Proposition 3.2 they form together a double-six. \square

Corollary 3.6. *A smooth cubic surface can not have a symmetric determinantal representation.*

4. DETERMINANTAL REPRESENTATIONS AND TWISTED CUBIC CURVES

So far we proved and explicitly constructed correspondence between equivalence classes of determinantal representations of S and sixes of skew lines on S . More precisely, there is 1-1 correspondence between pairs M, M^t and double-sixes.

In this section we find 1-1 correspondence between equivalence classes of determinantal representations and linear systems of twisted cubics on S .

The notion of equivalent representations is strongly connected to the equivalence of the following line bundles. If M is a determinantal representation for S , define the *corresponding vector bundle* ϵ along S by

$$\epsilon = \ker M.$$

Since S is nonsingular, ϵ is a line bundle by

Lemma 4.1. *The corresponding vector bundle of a determinantal representation of a smooth surface is of rank one.*

Proof. Let

$$M(z_0, z_1, z_2, z_3) = (m_{ij}^0 z_0 + m_{ij}^1 z_1 + m_{ij}^2 z_2 + m_{ij}^3 z_3)_{i,j}$$

satisfy $\det M = cF$ and denote by A_{ij} the (i, j) th 2nd-order cofactor of M . Using the formula for the differentiation of a determinant and row expansion we get

$$\frac{\partial F}{\partial z_k} = \frac{1}{c} \sum_{i,j} m_{ij}^k A_{ij}.$$

At every regular point of F not all partial derivatives are 0 and therefore not all 2×2 minors of M are 0. Thus M has rank 2. \square

Next we introduce the adjoint matrix

$$\widetilde{M} = \text{adj} M,$$

whose (i, j) th entry is the (j, i) th cofactor of M . From the identity

$$M\widetilde{M} = (\det M) \text{Id} = (cF) \text{Id}$$

and Lemma 4.1 we immediately deduce that

- every entry of \widetilde{M} is a homogeneous polynomial of degree 2,
- matrix \widetilde{M} has rank 1 at every point on S .

Thus on S every column of \widetilde{M} is in $\ker M$ and its zero locus, i.e. the zero locus defined by the entries of a column, is a twisted cubic curve on S . (See e.g. [13, Lecture 12].) Since $\det M \neq 0$ we get three different twisted cubic curves corresponding to the columns of \widetilde{M} (which are equivalent as effective divisors on S). In other words, the columns define a basis of a 2-dimensional linear system of twisted cubic curves on S . We call any of twisted cubic curves obtained above a *twisted cubic corresponding to determinantal representation M* .

Recall the identification [24] of line bundles with invertible sheaves. In other words, we could define ϵ corresponding to M by an exact sequence

$$(4) \quad 0 \longrightarrow \mathcal{O}_{\mathbb{P}^3}(-1)^3 \xrightarrow{M} \mathcal{O}_{\mathbb{P}^3}^3 \longrightarrow \epsilon \longrightarrow 0.$$

The invertible sheaf ϵ defined in such a way is obviously supported on S . By [6, Theorem 1.1.] matrices M and M' are equivalent if and only if the corresponding sheaves ϵ and ϵ' are isomorphic. Note that (4) induces long exact sequence with

$$H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(-1)^3) = H^1(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(-1)^3) = 0$$

and hence

$$H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}^3) \cong H^0(S, \epsilon)$$

has dimension 2.

Moreover, Beauville [1, Proposition 6.2.] proves that the cokernel of $\mathcal{O}_{\mathbb{P}^3}(-1)^3 \xrightarrow{M} \mathcal{O}_{\mathbb{P}^3}^3$ is isomorphic to $\mathcal{O}_S(C)$, where C is a smooth projectively normal curve on S of degree 3 and genus 0. Thus C is a twisted cubic on S as we have already seen. By [14, Proposition II.6.13.] $\mathcal{O}_S(C) \cong \mathcal{O}_S(C')$ if and only if C and C' are equivalent as effective divisors on S . We can conclude that our linear system of twisted cubic curves is in fact a complete linear system $H^0(S, \mathcal{O}_S(C))$ of a twisted cubic curve C corresponding to M .

Lemma 4.2. *Equivalent determinantal representations induce the same linear system of twisted cubic curves.*

Proof. Let M be a determinantal representation of S . The columns of the adjoint matrix \widetilde{M} form a basis of the corresponding linear system of twisted cubics on S . In other words

$$\widetilde{M} = \begin{pmatrix} q_1 & q_1 & q_1 \\ q_2 & q_2 & q_2 \\ q_3 & q_3 & q_3 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & r & 0 \\ 0 & 0 & t \end{pmatrix}$$

where q_i are quadratic polynomials and r, t rational functions on S .

It is now obvious that an equivalent representation XYM , $X, Y \in \mathrm{GL}_3(k)$ induces the same linear system, just another basis. \square

It remains to show that every linear system of twisted cubics on S indeed comes from some representation.

Consider S as a blow-up of 6 points of the plane and denote by A_1, \dots, A_6 the linear equivalence classes of the exceptional lines. Let $L \in \mathrm{Pic} S$ be the pull-back of a line in \mathbb{P}^2 .

Proposition 4.3. [14, Proposition V. 4.8] *Let S, A_i and L be as above. Then:*

- (1) $\mathrm{Pic} S \cong \mathbb{Z}^7$ is generated by A_1, \dots, A_6 and L ;
- (2) an effective divisor $D \sim \alpha L - \sum \beta_i A_i$ on S has degree

$$d = 3\alpha - \sum \beta_i$$

as a curve in \mathbb{P}^3 ;

(3) the self-intersection of D is

$$D^2 = 2(p_a(D) - 1) + d = \alpha^2 - \sum \beta_i^2,$$

where p_a denotes the arithmetic genus of D .

The following is a well known result.

Proposition 4.4. *Every smooth cubic surface S contains 72 linear systems of twisted cubic curves.*

Proof. We need to count all nonequivalent effective divisors $D \sim \alpha L - \sum \beta_i A_i$ on S of degree $d = 3$ and genus $p_a = 0$. We will show that the only integers satisfying these conditions are

α	$\{\beta_1, \dots, \beta_6\}$	number of solutions
1	$\{0, 0, 0, 0, 0, 0\}$	1
2	$\{1, 1, 1, 0, 0, 0\}$	20
3	$\{2, 1, 1, 1, 1, 0\}$	30
4	$\{2, 2, 2, 1, 1, 1\}$	20
5	$\{2, 2, 2, 2, 2, 2\}$	1

Recall Schwarz's inequality for real sequences

$$\left| \sum x_i y_i \right|^2 \leq \left| \sum x_i^2 \right| \cdot \left| \sum y_i^2 \right|$$

and take $x_i = 1$, $y_i = \beta_i$, $i = 1, \dots, 6$. By Proposition 4.3 we find

$$(3\alpha - 3)^2 = \left(\sum \beta_i \right)^2 \leq 6 \sum \beta_i^2 = 6(\alpha^2 - 1).$$

Solving the quadratic equation for α we get $1 \leq \alpha \leq 5$. One quickly obtains all possible integers β_i by trial. \square

Recall representation \mathfrak{R} of (3) corresponding to the double-six $\begin{pmatrix} a_1 \dots a_6 \\ b_1 \dots b_6 \end{pmatrix}$. Its adjoint is equal to

$$\begin{aligned} \tilde{\mathfrak{R}} &= \begin{pmatrix} -\pi_{23}\pi_{32} & \pi_{13}\pi_{32} & \pi_{12}\pi_{23} \\ \pi_{23}\pi_{31} & -\pi_{13}\pi_{31} & \pi_{13}\pi_{21} \\ \pi_{21}\pi_{32} & \pi_{12}\pi_{31} & -\pi_{12}\pi_{21} \end{pmatrix} \\ &= \begin{pmatrix} -\pi_{23}\pi_{32} & -\pi_{23}\pi_{32} & -\pi_{23}\pi_{32} \\ \pi_{23}\pi_{31} & \pi_{23}\pi_{31} & \pi_{23}\pi_{31} \\ \pi_{21}\pi_{32} & \pi_{21}\pi_{32} & \pi_{21}\pi_{32} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & -\frac{\pi_{13}}{\pi_{23}} & 0 \\ 0 & 0 & -\frac{\pi_{12}}{\pi_{32}} \end{pmatrix} \end{aligned}$$

The zero locus of the first column is a *degenerated twisted cubic* consisting of lines

$$\pi_{23} \cap \pi_{21} = b_2, \quad \pi_{23} \cap \pi_{32} = c_{23}, \quad \pi_{32} \cap \pi_{31} = b_3.$$

As divisors, the 27 lines on S are:

$$a_i \sim A_i, \quad c_{ij} \sim L - A_i - A_j, \quad b_j \sim 2L - \sum_{i \neq j} A_i,$$

This follows from the discussion in §2 of S as a blow-up of six points. Thus the degenerated twisted cubic curve corresponding to the first column of \mathfrak{R} is an effective divisor

$$5L - 2 \sum_{i=1}^6 A_i \sim b_2 + b_3 + c_{23}.$$

It now follows easily that twisted cubics corresponding to equivalent representations (or the same set of six skew lines) are linearly equivalent as divisors on S . And a different set of six skew lines induces a linearly nonequivalent divisor, i.e. different linear system of twisted cubic curves.

This proves that on S there are exactly 72 linear systems of twisted cubics, each corresponding to a set of six skew lines and concludes a proof of Theorem 1.1.

Remark 4.5. The two-dimensional linear system $|5L - 2\sum_{i=1}^6 A_i|$ corresponding to the six lines a_1, \dots, a_6 , contains $\binom{6}{2} = 15$ degenerated twisted cubics consisting of 3 lines b_i, b_j, c_{ij} .

Remark 4.6. In 1856 Steiner [15], [10] introduced sets of 9 lines on S that can be put in a 3×3 array such that lines in each row and column generate a tritangent plane. Here is the list of all possible Steiner sets:

$$\begin{array}{ccccccccc} a_i & b_j & c_{ij} & c_{ij} & c_{kl} & c_{mn} & a_i & b_j & c_{ij} \\ b_k & c_{jk} & a_j & c_{ln} & c_{im} & c_{jk} & b_k & a_l & c_{kl} \\ c_{ik} & a_k & b_i & c_{km} & c_{jn} & c_{il} & c_{ik} & c_{jl} & c_{mn} \end{array}$$

Denote by ρ_1, ρ_2, ρ_3 and $\sigma_1, \sigma_2, \sigma_3$ the tritangent planes corresponding to rows and columns, respectively, of a Steiner set. These planes form a so called *Triederpaar*. There are 120 Triederpaars and thus 120 essentially different representations of a cubic surface by an equation of the form

$$\rho_1\rho_2\rho_3 + \sigma_1\sigma_2\sigma_3 = 0.$$

Question: How do we relate the above 120 representations to the 72 classes of nonequivalent determinantal representations?

In fact, every Triederpaar induces $2 \cdot 3! \cdot 3!$ determinantal representations

$$\mathcal{M} = \begin{pmatrix} 0 & \rho_i & \sigma_p \\ \sigma_n & 0 & \rho_j \\ \rho_k & \sigma_m & 0 \end{pmatrix} \text{ and } \mathcal{M}^t$$

for $\{i, j, k\} = \{p, m, n\} = \{1, 2, 3\}$, which can be obviously partitioned in sets of 6 equivalent ones (obtained by permutation group S_3 acting on the same rows and columns).

Recall that the lines defined by the columns

$$\mathcal{M} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \mathcal{M} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \mathcal{M} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}, \mathcal{M}^t \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \mathcal{M}^t \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \mathcal{M}^t \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

form a half of a double-six that can be uniquely extended to the whole double-six corresponding to the pair of determinantal representations \mathcal{M} and \mathcal{M}^t . Determinantal representations with zeros along the diagonal are equivalent if and only if the corresponding halves of a double-six extend to the same double-six. There are $\binom{6}{3} = 20$ ways of choosing a half of a given double-six. Thus we find that the 120 Steiner sets give

$$120 \cdot \frac{2 \cdot 3!3!}{6} \cdot \frac{1}{20} = 72$$

nonequivalent determinantal representations on S .

Example 4.7. Consider Fermat surface S given by the equation

$$F = z_0^3 + z_1^3 + z_2^3 + z_3^3 = 0.$$

We represent a line

$$\alpha_0 z_0 + \alpha_1 z_1 + \alpha_2 z_2 + \alpha_3 z_3 = 0, \quad \beta_0 z_0 + \beta_1 z_1 + \beta_2 z_2 + \beta_3 z_3 = 0$$

by a 2×4 matrix

$$\begin{pmatrix} \alpha_0 & \alpha_1 & \alpha_2 & \alpha_3 \\ \beta_0 & \beta_1 & \beta_2 & \beta_3 \end{pmatrix}.$$

The 27 lines on S are then:

$$\begin{aligned} & \begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & \omega \end{pmatrix}, \begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & \omega & 1 \end{pmatrix}, \\ & \begin{pmatrix} 1 & \omega & 0 & 0 \\ 0 & 0 & 1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & \omega & 0 & 0 \\ 0 & 0 & 1 & \omega \end{pmatrix}, \begin{pmatrix} 1 & \omega & 0 & 0 \\ 0 & 0 & \omega & 1 \end{pmatrix}, \\ & \begin{pmatrix} \omega & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{pmatrix}, \begin{pmatrix} \omega & 1 & 0 & 0 \\ 0 & 0 & 1 & \omega \end{pmatrix}, \begin{pmatrix} \omega & 1 & 0 & 0 \\ 0 & 0 & \omega & 1 \end{pmatrix}, \\ & \begin{pmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & \omega \end{pmatrix}, \begin{pmatrix} 1 & 0 & 1 & 0 \\ 0 & \omega & 0 & 1 \end{pmatrix}, \\ & \begin{pmatrix} 1 & 0 & \omega & 0 \\ 0 & 1 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 & \omega & 0 \\ 0 & 1 & 0 & \omega \end{pmatrix}, \begin{pmatrix} 1 & 0 & \omega & 0 \\ 0 & \omega & 0 & 1 \end{pmatrix}, \\ & \begin{pmatrix} \omega & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{pmatrix}, \begin{pmatrix} \omega & 0 & 1 & 0 \\ 0 & 1 & 0 & \omega \end{pmatrix}, \begin{pmatrix} \omega & 0 & 1 & 0 \\ 0 & \omega & 0 & 1 \end{pmatrix}, \\ & \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & \omega & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & \omega & 1 & 0 \end{pmatrix}, \\ & \begin{pmatrix} 1 & 0 & 0 & \omega \\ 0 & 1 & 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 & \omega \\ 0 & 1 & \omega & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 & \omega \\ 0 & \omega & 1 & 0 \end{pmatrix}, \\ & \begin{pmatrix} \omega & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 \end{pmatrix}, \begin{pmatrix} \omega & 0 & 0 & 1 \\ 0 & 1 & \omega & 0 \end{pmatrix}, \begin{pmatrix} \omega & 0 & 0 & 1 \\ 0 & \omega & 1 & 0 \end{pmatrix}, \end{aligned}$$

where ω is a primitive third root of unity. Consider determinantal representation

$$(5) \quad M = \begin{pmatrix} 0 & z_0 + z_1 & z_2 + z_3 \\ \omega z_2 + z_3 & 0 & z_0 + \omega z_1 \\ \omega z_0 + z_1 & z_2 + \omega z_3 & 0 \end{pmatrix}.$$

Recall from equality (2) that

$$(6) \quad M \cdot \begin{pmatrix} x_0 \\ x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} x_1 & x_1 & x_2 & x_2 \\ x_2 & \omega x_2 & \omega x_0 & x_0 \\ \omega x_0 & x_0 & x_1 & \omega x_1 \end{pmatrix} \cdot \begin{pmatrix} z_0 \\ z_1 \\ z_2 \\ z_3 \end{pmatrix}.$$

From this we can easily determine the lines corresponding to M . Indeed, the 3×4 matrix in (6) has rank 2 at points

$$(1, 0, 0), (0, 1, 0), (0, 0, 1), (1, 1, 1), (1, \omega, \omega^2), (1, \omega^2, \omega)$$

in \mathbb{P}^2 , which induce mutually skew lines

$$\begin{pmatrix} \omega & 1 & 0 & 0 \\ 0 & 0 & \omega & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & \omega \end{pmatrix}, \begin{pmatrix} 1 & \omega & 0 & 0 \\ 0 & 0 & 1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 & \omega \\ 0 & 1 & \omega & 0 \end{pmatrix}, \begin{pmatrix} \omega & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 \end{pmatrix}.$$

On the other hand M^t induces lines

$$\begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & \omega & 0 & 0 \\ 0 & 0 & \omega & 1 \end{pmatrix}, \begin{pmatrix} \omega & 1 & 0 & 0 \\ 0 & 0 & 1 & \omega \end{pmatrix}, \begin{pmatrix} \omega & 0 & 0 & 1 \\ 0 & 1 & \omega & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & \omega & 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 & \omega \\ 0 & 1 & 1 & 0 \end{pmatrix}$$

over points

$$(1, 0, 0), (0, 1, 0), (0, 0, 1), (\omega^2, 1, 1), (1, 1, \omega^2), (1, \omega^2, 1).$$

Note that the above sets of lines form a double-six.

Consider next

$$M' = \begin{pmatrix} 0 & z_2 + \omega z_3 & z_0 + \omega z_1 \\ \omega z_0 + z_1 & 0 & z_2 + z_3 \\ \omega z_2 + z_3 & z_0 + z_1 & 0 \end{pmatrix}$$

The columns of M' determine lines

$$\begin{pmatrix} \omega & 1 & 0 & 0 \\ 0 & 0 & \omega & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & \omega \end{pmatrix}, \begin{pmatrix} 1 & \omega & 0 & 0 \\ 0 & 0 & 1 & 1 \end{pmatrix}$$

and the rows of M' (which are the columns of $(M')^t$) determine lines

$$\begin{pmatrix} 1 & \omega & 0 & 0 \\ 0 & 0 & 1 & \omega \end{pmatrix}, \begin{pmatrix} \omega & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & \omega & 1 \end{pmatrix}$$

which together form a half of a double-six. It follows that the double-sixes corresponding to M and M' are different and so, they are not equivalent.

In the same way we show that the half of a double-six corresponding to

$$M'' = \begin{pmatrix} 0 & \omega z_0 + z_1 + z_2 + \omega z_3 & \omega z_0 + z_1 + \omega^2 z_2 + z_3 \\ \omega z_0 + \omega^2 z_1 + z_2 + z_3 & 0 & \omega z_0 + z_3 \\ z_0 + \omega z_1 + \omega z_2 + \omega z_3 & z_1 + z_2 & 0 \end{pmatrix}$$

is equal to

$$\begin{pmatrix} 1 & \omega & 0 & 0 \\ 0 & 0 & 1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 \end{pmatrix}, \begin{pmatrix} \omega & 0 & 0 & 1 \\ 0 & \omega & 1 & 0 \end{pmatrix} \text{ and } \begin{pmatrix} \omega & 1 & 0 & 0 \\ 0 & 0 & 1 & \omega \end{pmatrix}, \begin{pmatrix} \omega & 0 & 0 & 1 \\ 0 & 1 & \omega & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 & \omega \\ 0 & 1 & 1 & 0 \end{pmatrix}.$$

This set uniquely extends to the double-six corresponding to M . Therefore M and M'' are equivalent.

5. SELF-ADJOINT DETERMINANTAL REPRESENTATIONS

From now on let the ground field k be the complex field \mathbb{C} . In Corollary 3.6 we saw that a smooth cubic surface cannot have symmetric determinantal representations. It is natural to ask whether a real surface S (i.e., a surface such that all the coefficients of the defining homogeneous polynomial F are real) admits a self-adjoint determinantal representation. We will consider a determinantal representations $U(z_0, z_1, z_2, z_3)$ satisfying

$$\det U = cF \text{ for some } c \in \mathbb{C}, c \neq 0$$

and

$$\begin{aligned} U(z_0, z_1, z_2, z_3) &= \left(u_{ij}^0 z_0 + u_{ij}^1 z_1 + u_{ij}^2 z_2 + u_{ij}^3 z_3 \right)_{i,j} \\ &\parallel \\ U^*(z_0, z_1, z_2, z_3) &= \left(\overline{u_{ji}^0} z_0 + \overline{u_{ji}^1} z_1 + \overline{u_{ji}^2} z_2 + \overline{u_{ji}^3} z_3 \right)_{i,j}. \end{aligned}$$

Assume that $U = U^*$. By Proposition 3.3 there exists an equivalent representation

$$\mathfrak{R} = XUY, \quad X, Y \in \mathrm{GL}_3(\mathbb{C})$$

with zeros along the diagonal. Note that \mathfrak{R} and \mathfrak{R}^* are equivalent representations since

$$\mathfrak{R}^* = Y^* U X^* = Y^* X^{-1} \mathfrak{R} Y^{-1} X^*$$

and thus they correspond to the same six skew lines. We denote by $\begin{pmatrix} a_1 \dots a_6 \\ b_1 \dots b_6 \end{pmatrix}$ the double-six corresponding to \mathfrak{R} and \mathfrak{R}^t . The exact relations are the following:

$$\mathfrak{R} = \begin{pmatrix} 0 & \pi_{12} & \pi_{13} \\ \pi_{21} & 0 & \pi_{23} \\ \pi_{31} & \pi_{32} & 0 \end{pmatrix} \begin{array}{l} \rightarrow b_1 \\ \rightarrow b_2 \\ \rightarrow b_3 \end{array}$$

$$\begin{array}{ccc} \downarrow & \downarrow & \downarrow \\ a_1 & a_2 & a_3 \end{array}$$

and

$$\mathfrak{R}^* = \begin{pmatrix} 0 & \overline{\pi_{21}} & \overline{\pi_{31}} \\ \overline{\pi_{12}} & 0 & \overline{\pi_{32}} \\ \overline{\pi_{13}} & \overline{\pi_{23}} & 0 \end{pmatrix} \begin{array}{l} \rightarrow b_i = \overline{a_1} \\ \rightarrow b_j = \overline{a_2} \\ \rightarrow b_k = \overline{a_3} \end{array}$$

$$\begin{array}{ccc} \downarrow & \downarrow & \downarrow \\ a_i & a_j & a_k \\ \parallel & \parallel & \parallel \\ \overline{b_1} & \overline{b_2} & \overline{b_3} \end{array}$$

for some $i \neq j \neq k \in \{1, \dots, 6\}$. This gives a necessary condition for S to have a self-adjoint determinantal representation. In fact, we will prove that it is sufficient as well.

Lemma 5.1. *A real smooth cubic surface S has a self-adjoint determinantal representation if and only if there exists a double-six*

$$\begin{pmatrix} a_1 & \dots & a_6 \\ b_1 & \dots & b_6 \end{pmatrix}$$

and $i \neq j \neq k \in \{1, \dots, 6\}$ such that

$$\begin{array}{l} a_i = \overline{b_1}, \quad a_j = \overline{b_2}, \quad a_k = \overline{b_3}, \\ b_i = \overline{a_1}, \quad b_j = \overline{a_2}, \quad b_k = \overline{a_3} \end{array}.$$

Proof. It remains to show that the condition is sufficient. From the given double-six we construct a determinantal representation \mathfrak{R} with zeros along the diagonal, such that its columns induce a_1, a_2, a_3 and its rows induce b_1, b_2, b_3 . Then our assumption implies that \mathfrak{R}^* induces the same six skew lines and is thus equivalent to \mathfrak{R} . This means

$$(7) \quad \mathfrak{R}^* S = T \mathfrak{R}$$

for some $S, T \in \mathrm{GL}_3(\mathbb{C})$.

Recall that the intersections of planes given by the columns of \mathfrak{R}

$$\mathfrak{R} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \quad \mathfrak{R} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \quad \mathfrak{R} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

induce the lines a_1, a_2, a_3 , respectively. Since \mathfrak{R}^* is equivalent to \mathfrak{R} there exist uniquely determined points

$$(\zeta_i, \eta_i, \xi_i) \in \mathbb{P}^2, \quad i = 1, 2, 3,$$

such that the intersections of planes corresponding to

$$\mathfrak{R}^* \begin{pmatrix} \zeta_1 \\ \eta_1 \\ \xi_1 \end{pmatrix}, \quad \mathfrak{R}^* \begin{pmatrix} \zeta_2 \\ \eta_2 \\ \xi_2 \end{pmatrix}, \quad \mathfrak{R}^* \begin{pmatrix} \zeta_3 \\ \eta_3 \\ \xi_3 \end{pmatrix}$$

also induce a_1, a_2, a_3 , respectively. Evaluate (7) on the vectors of the standard basis to prove that

$$S = \begin{pmatrix} \alpha_1 \zeta_1 & \alpha_2 \zeta_2 & \alpha_3 \zeta_3 \\ \alpha_1 \eta_1 & \alpha_2 \eta_2 & \alpha_3 \eta_3 \\ \alpha_1 \xi_1 & \alpha_2 \xi_2 & \alpha_3 \xi_3 \end{pmatrix}$$

for some nonzero $\alpha_1, \alpha_2, \alpha_3 \in \mathbb{C}$.

Next observe that $\overline{\mathfrak{R}}$ over the standard vectors induces the lines $\overline{a_1}, \overline{a_2}, \overline{a_3}$, respectively. In the same way $\overline{\mathfrak{R}^*} = \mathfrak{R}^t$ over $(\overline{\zeta_i}, \overline{\eta_i}, \overline{\xi_i})$, $i = 1, 2, 3$ again induces $\overline{a_1}, \overline{a_2}, \overline{a_3}$. Consider the transpose of (7)

$$\mathfrak{R}^t T^t = S^t (\mathfrak{R}^*)^t = S^t \overline{\mathfrak{R}}$$

This equality evaluated on the vectors of the standard basis implies that

$$T^t = \begin{pmatrix} \beta_1 \overline{\zeta_1} & \beta_2 \overline{\zeta_2} & \beta_3 \overline{\zeta_3} \\ \beta_1 \overline{\eta_1} & \beta_2 \overline{\eta_2} & \beta_3 \overline{\eta_3} \\ \beta_1 \overline{\xi_1} & \beta_2 \overline{\xi_2} & \beta_3 \overline{\xi_3} \end{pmatrix}$$

for some nonzero $\beta_1, \beta_2, \beta_3 \in \mathbb{C}$.

Thus we proved that

$$S = T^* D, \quad \text{where } D = \begin{pmatrix} \frac{\alpha_1}{\beta_1} & 0 & 0 \\ 0 & \frac{\alpha_2}{\beta_2} & 0 \\ 0 & 0 & \frac{\alpha_3}{\beta_3} \end{pmatrix}.$$

Then (7) becomes

$$T \mathfrak{R} = \mathfrak{R}^* T^* D = (T \mathfrak{R})^* D = \overline{D} T \mathfrak{R} D.$$

Since $T \mathfrak{R}$ is a determinantal representation of an irreducible surface, at most one entry in each row or column is zero. This implies that $D = \gamma \text{Id}$ for some $|\gamma| = 1$ and the representation

$$\sqrt{\gamma} T \mathfrak{R} = \sqrt{\gamma} (T \mathfrak{R})^* D = \sqrt{\gamma} \gamma (T \mathfrak{R})^* = \sqrt{\gamma} (T \mathfrak{R})^*$$

is self-adjoint. \square

Remark 5.2. Note that a line l lies on a real surface S if and only if \bar{l} lies on S . There are three types of lines on S :

1) *Conjugate of the 1st kind:*

- $l \cap \bar{l} =$ one real point if and only if
- l has exactly 1 real point and $l \neq \bar{l}$.

2) *Conjugate of the 2nd kind:*

- $l \cap \bar{l} = \emptyset$ if and only if
- l has no real points (i.e., no points which has all components real).

3) *Real line:*

- $l = \bar{l}$ if and only if
- l is a real line.

Suppose that $\begin{pmatrix} a_1 & \dots & a_6 \\ b_1 & \dots & b_6 \end{pmatrix}$ is a double-six that satisfies the conditions of Lemma 5.1. Then the lines a_1, a_2 and a_3 cannot be real lines since all the 12 lines of a double-six are distinct. If a_1 is a conjugate of the 2nd kind then $i = 1$ and $\overline{a_1} = b_1$. On the other hand, if a_1 is a conjugate of the 1st kind, then $i \neq 1$. In this case $\pi_{i1} = \langle a_1, \overline{a_1} \rangle$ and $\pi_{1i} = \langle a_i, \overline{a_i} \rangle$ are real planes (i.e., given by a linear polynomial with real coefficients) and $c_{1i} = \pi_{i1} \cap \pi_{1i}$ is a real line.

A double-six $\begin{pmatrix} a_1 & \cdots & a_6 \\ b_1 & \cdots & b_6 \end{pmatrix}$ is called *mutually self-conjugate* if $\{b_1, \dots, b_6\} = \{\overline{a_1}, \dots, \overline{a_6}\}$ as sets. Using the fact that a half of the double-six uniquely determines the whole double-six we get the following:

Corollary 5.3. *A real smooth cubic surface S has a self-adjoint determinantal representation if and only if there exists a mutually self-conjugate double-six on S .*

Next we consider all nonequivalent self-adjoint representations of a given real cubic surface S . In Segre [23, pp. 40-55] we find the following classification:

Theorem 5.4. *A nonsingular real cubic surface can only be one of the 5 types specified in the table:*

Type	Number of lines:		
	Real	1st kind	2nd kind
F_1	27	0	0
F_2	15	0	12
F_3	7	4	16
F_4	3	12	12
F_5	3	24	0

After a suitable permutation of indexes, a mutually self-conjugate double-six is one of the following 4 types: a double-six of the I-st kind is of the form

$$\begin{pmatrix} a_1 & a_2 & a_3 & a_4 & a_5 & a_6 \\ \overline{a_1} & \overline{a_2} & \overline{a_3} & \overline{a_4} & \overline{a_5} & \overline{a_6} \end{pmatrix},$$

a double-six of the II-nd kind is of the form

$$\begin{pmatrix} a_1 & a_2 & a_3 & a_4 & a_5 & a_6 \\ \overline{a_2} & \overline{a_1} & \overline{a_3} & \overline{a_4} & \overline{a_5} & \overline{a_6} \end{pmatrix},$$

a double-six of the III-rd kind is of the form

$$\begin{pmatrix} a_1 & a_2 & a_3 & a_4 & a_5 & a_6 \\ \overline{a_2} & \overline{a_1} & \overline{a_4} & \overline{a_3} & \overline{a_5} & \overline{a_6} \end{pmatrix}$$

and a double-six of the IV-th kind is of the form

$$\begin{pmatrix} a_1 & a_2 & a_3 & a_4 & a_5 & a_6 \\ \overline{a_2} & \overline{a_1} & \overline{a_4} & \overline{a_3} & \overline{a_6} & \overline{a_5} \end{pmatrix}.$$

Moreover, all mutually self-conjugate double-sixes are specified by

Type	F_1	F_2	F_3	F_4	F_5
Number _{Kind}	0	1 _I	2 _{II}	3 _{III}	12 _{IV}

The four kinds of mutually self-conjugate double-sixes were introduced by Cremona [7].

Every mutually self-conjugate double-six induces two nonequivalent self-adjoint determinantal representations. As a consequence we obtain the following result.

Corollary 5.5. *A real cubic surface has the following number of nonequivalent self-adjoint determinantal representations:*

Type of the surface	F_1	F_2	F_3	F_4	F_5
Number of s.a. reps	0	2	4	6	24

Definition 5.6. Two self-adjoint determinantal representations U_1, U_2 of S are *hermitean equivalent* if there exists a complex matrix $X \in \text{GL}_3(\mathbb{C})$ such that

$$U_2 = XU_1X^*.$$

Proposition 5.7. *Two self-adjoint determinantal representations U_1, U_2 of S are equivalent if and only if U_1 is hermitean equivalent to either U_2 or $-U_2$.*

Proof. Assume that U_1 and U_2 are equivalent. Then there exist $X, Y \in \text{GL}_3(\mathbb{C})$ such that $U_2 = XU_1Y$.

Observe that

$$XU_1X^* = XU_1YY^{-1}X^* = U_2Y^{-1}X^*$$

is self-adjoint. Denote $Y^{-1}X^*$ by Z . Then

$$(8) \quad U_2Z = Z^*U_2.$$

Recall that there exist 6 unique points in general position $(\zeta_i, \eta_i, \xi_i) \in \mathbb{P}^2$, $i = 1, \dots, 6$, such that for every i equations

$$U_2 \cdot \begin{pmatrix} \zeta_i \\ \eta_i \\ \xi_i \end{pmatrix} = 0$$

define three planes which intersect in the line a_i . We can conclude from (8) that Z is a real multiple of the identity matrix. Indeed, for $i = 1, \dots, 6$ evaluate (8) on (ζ_i, η_i, ξ_i) , which shows that

$$Z \begin{pmatrix} \zeta_i \\ \eta_i \\ \xi_i \end{pmatrix} = \lambda_i \begin{pmatrix} \zeta_i \\ \eta_i \\ \xi_i \end{pmatrix} \quad \text{for some } 0 \neq \lambda_i \in \mathbb{C}.$$

Because the 6 points are in general position, every subset of 3 points generates a basis for \mathbb{C}^3 . Therefore all λ_i are equal. Moreover, (8) implies that λ_i is real and thus Z is a real multiple of Id .

This means that $Y = kX^*$ for some $k \in \mathbb{R}$ and

$$U_2 = \sqrt{k} X U_1 \sqrt{k} X^* \quad \text{if } k > 0,$$

$$-U_2 = \sqrt{-k} X U_1 \sqrt{-k} X^* \quad \text{if } k < 0,$$

which concludes the proof. \square

Example 5.8. Lines corresponding to M' in Example 4.7 satisfy the conditions of Lemma 5.1. Indeed M' and $(M')^t$ induce the double-six

$$\begin{pmatrix} (\omega 100), (1100), (1\omega 00), (1001), (\omega 001), (100\omega) \\ (00\omega 1), (001\omega), (0011), (01\omega 0), (0110), (0\omega 10) \\ (1\omega 00), (\omega 100), (1100), (100\omega), (1001), (\omega 001) \\ (001\omega), (0011), (00\omega 1), (0110), (0\omega 10), (01\omega 0) \end{pmatrix}$$

which is of the *III*-rd kind.

Then

$$U_1 = \begin{pmatrix} \omega^2 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \cdot M' = \begin{pmatrix} 0 & \omega^2 z_2 + z_3 & \omega^2 z_0 + z_1 \\ \omega z_2 + z_3 & z_0 + z_1 & 0 \\ \omega z_0 + z_1 & 0 & z_2 + z_3 \end{pmatrix}$$

is self-adjoint. Note that the representation

$$N = \begin{pmatrix} 0 & z_0 + z_1 + z_2 + \omega z_3 & \omega^2 z_0 + z_1 + z_2 + z_3 \\ z_0 + \omega^2 z_1 + z_2 + z_3 & 0 & z_2 + z_3 \\ z_0 + z_1 + \omega z_2 + z_3 & \omega^2(z_0 + z_1) & 0 \end{pmatrix}$$

induces the same skew lines and is thus equivalent to M' . Also

$$\begin{aligned} U_2 &= \begin{pmatrix} \omega & 2 + \omega^2 & 1 - \omega^2 \\ 0 & 0 & \omega \\ 0 & 1 & 0 \end{pmatrix} \cdot N \\ &= \begin{pmatrix} 3(z_0 + z_3) & \omega^2 z_0 + \omega^2 z_1 + \omega z_2 + \omega^2 z_3 & z_0 + \omega z_1 + z_2 + z_3 \\ \omega z_0 + \omega z_1 + \omega^2 z_2 + \omega z_3 & z_0 + z_1 & 0 \\ z_0 + \omega^2 z_1 + z_2 + z_3 & 0 & z_2 + z_3 \end{pmatrix} \end{aligned}$$

is self-adjoint. It is easy to check that

$$U_1 = \begin{pmatrix} 1 & -\omega^2 & -1 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega \end{pmatrix} \cdot U_2 \cdot \begin{pmatrix} 1 & 0 & 0 \\ -\omega & \omega & 0 \\ -1 & 0 & \omega^2 \end{pmatrix}.$$

From the list of 27 lines on Fermat surface in Example 4.7 or the fact that it has a conjugate double six of the *III*-rd kind we conclude that it is of the Segre type F_4 and thus it has 6 nonequivalent self-adjoint determinantal representations. Observe that determinantal representations M of (5) is not equivalent to a self-adjoint one.

6. DEFINITE DETERMINANTAL REPRESENTATIONS

In this section we consider the following question: when do the 4 coefficient matrices of a self-adjoint determinantal representation of a cubic surface admit a real linear combination which is positive definite?

Definition 6.1. A self-adjoint determinantal representation $U = z_0 U_0 + z_1 U_1 + z_2 U_2 + z_3 U_3$ of S is called *definite* if there exist $c_0, c_1, c_2, c_3 \in \mathbb{R}$ such that

$$c_0 U_0 + c_1 U_1 + c_2 U_2 + c_3 U_3 > 0$$

and is *indefinite* otherwise.

A nonzero vector $h \in \mathbb{C}^3$ is *self-orthogonal vector* of U if

$$h^* U_i h = 0, \quad \text{for all } i = 0, 1, 2, 3.$$

Note that the notions defined above are projectively invariant. Furthermore, the definiteness is preserved under hermitean equivalence of determinantal representations. Clearly, a determinantal representation with a self-orthogonal vector is indefinite.

Theorem 6.2. *Every self-adjoint determinantal representation of a surface of types F_2, F_3 and F_4 has a self-orthogonal vector and is therefore indefinite.*

Proof. In Section 5 we saw that self-adjoint determinantal representations are induced by mutually self-conjugate double-sixes. Every double-six of a surface of type F_2 is of the *I*-st kind and the corresponding representation of type \mathfrak{R} is of the form

$$\begin{array}{ccc} \begin{pmatrix} 0 & \pi_{12} & \pi_{13} \\ \overline{\pi_{12}} & 0 & \pi_{23} \\ \overline{\pi_{13}} & \overline{\pi_{23}} & 0 \end{pmatrix} & \rightarrow & \begin{array}{l} \overline{a_1} \\ \overline{a_2} \\ \overline{a_3} \end{array} \\ \downarrow & & \downarrow \\ a_1 & & a_2 \end{array}$$

Since, $\pi_{21} = \langle a_1, \overline{a_2} \rangle = \overline{\langle a_2, \overline{a_1} \rangle} = \overline{\pi_{12}}$, and similarly $\pi_{31} = \overline{\pi_{13}}$ and $\pi_{32} = \overline{\pi_{23}}$, it follows that the representation is self-adjoint and each of the coordinate vectors, e.g., $\begin{pmatrix} 1 & 0 & 0 \end{pmatrix}^*$, is self-orthogonal.

Double-sixes of the *II*-nd and *III*-rd kind are, after a reordering of lines in the latter case, of the form

$$\begin{pmatrix} a_1 & a_2 & a_3 & \cdots \\ \overline{a_2} & \overline{a_1} & \overline{a_3} & \cdots \end{pmatrix}.$$

The corresponding representation of type \mathfrak{R} and an equivalent representation are

$$\begin{array}{ccc} \begin{pmatrix} 0 & \pi_{12} & \pi_{13} \\ \pi_{21} & 0 & \pi_{23} \\ \pi_{31} & \pi_{32} & 0 \end{pmatrix} & \begin{array}{l} \rightarrow \overline{a_2} \\ \rightarrow \overline{a_1} \\ \rightarrow \overline{a_3} \end{array} & \sim \begin{pmatrix} \pi_{21} & 0 & \pi_{23} \\ 0 & \pi_{12} & \pi_{13} \\ \pi_{31} & \pi_{32} & 0 \end{pmatrix} \begin{array}{l} \rightarrow \overline{a_1} \\ \rightarrow \overline{a_2} \\ \rightarrow \overline{a_3} \end{array} \\ \begin{array}{ccc} \downarrow & \downarrow & \downarrow \\ a_1 & a_2 & a_3 \end{array} & & \begin{array}{ccc} \downarrow & \downarrow & \downarrow \\ a_1 & a_2 & a_3 \end{array} \end{array}$$

The latter representation is self-adjoint since $\pi_{21} = \langle a_1, \overline{a_1} \rangle$ and $\pi_{12} = \langle a_2, \overline{a_2} \rangle$ are real planes and

$$\pi_{31} = \langle a_1, \overline{a_3} \rangle = \overline{\langle a_3, \overline{a_1} \rangle} = \overline{\pi_{23}}, \quad \pi_{32} = \langle a_2, \overline{a_3} \rangle = \overline{\langle a_3, \overline{a_2} \rangle} = \overline{\pi_{13}}.$$

Moreover, the vector $(0 \ 0 \ 1)^*$ is its self-orthogonal vector. Hence all the self-adjoint determinantal representations of a surface of types F_2, F_3 and F_4 are indefinite. \square

Example 6.3. Recall the self-adjoint representation of Fermat surface from Example 5.8:

$$U = z_0 \begin{pmatrix} 0 & 0 & \omega^2 \\ 0 & 1 & 0 \\ \omega & 0 & 0 \end{pmatrix} + z_1 \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix} + z_2 \begin{pmatrix} 0 & \omega^2 & 0 \\ \omega & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} + z_3 \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

It is easy to check that $(\omega \ 0 \ 0)$ is a self-orthogonal vector of U .

It is natural to ask whether the coefficients of every indefinite determinantal representation of S have a self-orthogonal vector. We will see in Example 6.5 that the answer is no.

Theorem 6.4. *Every real cubic surface of type F_5 has up to equivalence 16 definite determinantal representations (among the 24 nonequivalent self-adjoint representations).*

Before we prove the theorem we recall some facts about the geometry of real cubic surfaces of type F_5 which are found in Segre [23].

The real part of a real cubic surface of type F_5 consists of two disconnected components, one of which is ovoidal. On the other hand, the real part of real cubic surfaces of types F_1, F_2, F_3 and F_4 consist of a single connected component.

We denote a real cubic surface of type F_5 by F_5 and we write $F_5(\mathbb{R})$ for its real part. Then F_5 has 3 real lines all of which are coplanar and lie in the non-ovoidal piece. Through every real line there are 4 additional tritangent planes, each intersecting F_5 in 2 conjugate lines of the 1st kind. Thus F_5 has $13 = 1 + 3 \times 4$ real tritangent planes. The 24 conjugate lines form 12 self-conjugate double-sixes of the *IV*-th kind.

A *parabolic plane* through a real line r is by definition the plane on which r is tangent to the residual conic of intersection with the surface. The touching point is called a *parabolic point* of r . Segre proves that every real line of a real cubic surface F_5 contains 2 real parabolic points.

Note that a tritangent plane intersects the real part of $F_5(\mathbb{R})$ in either three real lines or in a union of a real line and a point which is the real intersection point of

the two conjugate complex lines of the tritangent plane. This point may lie on the real line. In fact, it lies on the real line if and only if it is an Eckardt point. (If all three lines on a tritangent plane meet in one point then such a point is called an *Eckardt point*.)

Now fix a real line r on F_5 and consider real planes through r . Among those there are:

- 2 parabolic planes λ, λ' ,
- 2 tritangent planes β, β' that intersect the ovoidal piece of $F_5(\mathbb{R})$,
- 2 tritangent planes γ, γ' that do not intersect the ovoidal piece of $F_5(\mathbb{R})$.

Now we view our surface affinely and we consider an orientation on the pencil of real planes with axis r . We start with the plane α through the 3 real lines and fix a direction of rotation around r . All the above planes are real and occur in the following order (see Figures 1 and 2):

$$\alpha, \lambda, \gamma, \beta, \beta', \gamma', \lambda', \alpha.$$

Note that λ and γ may coincide. This happens if and only if the conjugate lines on γ and r intersect in an Eckardt point. The same holds for λ' and γ' . No other planes can coincide.

The ovoidal part of F_5 is wedged between β and β' and the non-ovoidal between γ' and γ with respect to the above fixed orientation. On the other hand the interior of wedges between γ, β and β', γ' do not intersect $F_5(\mathbb{R})$.

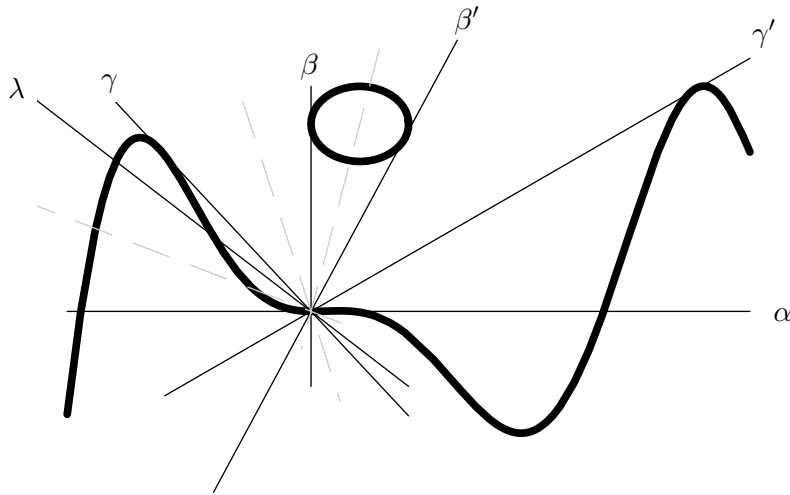


FIGURE 1. F_5 surface

Proof of Theorem 6.4. Fix a double six of the *IV*-th kind:

$$\left(\begin{array}{cccccc} a_1 & a_2 & a_3 & a_4 & a_5 & a_6 \\ \bar{a}_2 & \bar{a}_1 & \bar{a}_4 & \bar{a}_3 & \bar{a}_6 & \bar{a}_5 \end{array} \right).$$


 FIGURE 2. Planes containing r

The corresponding determinantal representation of type \mathfrak{R} is

$$\mathfrak{R} = \begin{pmatrix} 0 & \pi_{12} & \pi_{13} \\ \pi_{21} & 0 & \pi_{23} \\ \pi_{31} & \pi_{32} & 0 \end{pmatrix} \begin{array}{l} \rightarrow \bar{a}_2 \\ \rightarrow \bar{a}_1 \\ \rightarrow \bar{a}_4 \end{array} .$$

$$\begin{array}{ccc} \downarrow & \downarrow & \downarrow \\ a_1 & a_2 & a_3 \end{array}$$

First we find a self-adjoint determinantal representation equivalent to \mathfrak{R} . Planes π_{12} and π_{21} are real and intersect in a real line on F_5 . By Proposition 3.2 there exists a point $(\eta, \xi, 1) \in \mathbb{P}^2$ such that the three planes corresponding to

$$\mathfrak{R}^t \begin{pmatrix} \eta \\ \xi \\ 1 \end{pmatrix}$$

intersect in the line \bar{a}_3 . Then $U =$

$$\begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ \eta & \xi & 1 \end{pmatrix} \mathfrak{R} = \begin{pmatrix} \pi_{21} & 0 & \pi_{23} \\ 0 & \pi_{12} & \pi_{13} \\ \xi\pi_{21} + \pi_{31} & \eta\pi_{12} + \pi_{32} & \eta\pi_{13} + \xi\pi_{23} \end{pmatrix} \begin{array}{l} \rightarrow \bar{a}_1 \\ \rightarrow \bar{a}_2 \\ \rightarrow \bar{a}_3 \end{array}$$

$$\begin{array}{ccc} \downarrow & \downarrow & \downarrow \\ a_1 & a_2 & a_3 \end{array}$$

is self-adjoint since

$$\eta\pi_{12} + \pi_{32} = \langle a_2, \bar{a}_3 \rangle = \bar{\pi}_{13}, \quad \xi\pi_{21} + \pi_{31} = \langle a_1, \bar{a}_3 \rangle = \bar{\pi}_{23}$$

(after multiplying π_{23} and π_{13} by real constants if necessary) and

$$\eta\pi_{13} + \xi\pi_{23} = \langle a_3, \bar{a}_3 \rangle = \pi_{43}$$

is real.

To determine when the above determinantal representation U is definite we introduce a system of quadratic forms:

$$\mathcal{Q} = (\bar{\alpha}, \bar{\beta}, \bar{\gamma}) \begin{pmatrix} \pi_{21} & 0 & \pi_{23} \\ 0 & \pi_{12} & \pi_{13} \\ \bar{\pi}_{23} & \bar{\pi}_{13} & \pi_{43} \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \\ \gamma \end{pmatrix} =$$

$$\frac{1}{\pi_{21}}(\alpha\pi_{21} + \gamma\pi_{23})(\bar{\alpha}\pi_{21} + \bar{\gamma}\pi_{23}) + \frac{1}{\pi_{12}}(\beta\pi_{12} + \gamma\pi_{13})(\bar{\beta}\pi_{12} + \bar{\gamma}\pi_{13}) +$$

$$\frac{1}{\pi_{12}\pi_{21}}(\pi_{12}\pi_{21}\pi_{43} - \pi_{12}\pi_{23}\bar{\pi}_{23} - \pi_{21}\pi_{13}\bar{\pi}_{13})\gamma\bar{\gamma}.$$

Observe that

$$(9) \quad \pi_{12}\pi_{21}\pi_{43} - \pi_{12}\pi_{23}\bar{\pi}_{23} - \pi_{21}\pi_{13}\bar{\pi}_{13}$$

is the determinant of U and therefore a defining equation of F_5 .

Note that U is definite if and only if \mathcal{Q} contains a definite quadratic form, or equivalently, if there is a real point $P \in \mathbb{P}^3$ such that $\pi_{12}(P), \pi_{21}(P)$ and $F_5(P)$ are all positive or all negative.

Planes π_{12} and π_{21} divide \mathbb{P}^3 into two wedges where π_{12}, π_{21} either have the same or different signs. We will prove that the non-ovoidal component of $F_5(\mathbb{R})$ lies in the wedge in which the values π_{12} and π_{21} have different signs. Consider the real line in $\pi_{43} \cap F_5(\mathbb{R})$ and choose a real point P on it, that is not on π_{13} or π_{23} . Evaluate (9) in P to see that the values $\pi_{12}(P)$ and $\pi_{21}(P)$ must have different signs.

Recall the geometry of real planes around the line r . It follows that the wedge where π_{12}, π_{21} have the same sign either contains the ovoidal piece, or the interior of the wedge does not intersect the real part of F_5 . In the first case there exists a point in which π_{12}, π_{21} and (9) have the same sign, which makes the form $\mathcal{Q}(P)$ definite.

In the second case all the quadratic forms of \mathcal{Q} are non-definite. Indeed, the plane π_{43} cuts the wedge where π_{12}, π_{21} have the same sign. In the intersecting points (9) has exactly the opposite sign to π_{12}, π_{21} . Since the intersection with $F_5(\mathbb{R})$ is empty, the sign differs along the whole wedge.

After fixing a direction of rotation around r , the 4 tritangent planes through r cut out 6 different wedges (i.e., different choices of π_{12}, π_{21}) in which the wedging two planes are either both positive or negative. From Figure 1 we see that 4 wedges contain the ovoidal piece of $F_5(\mathbb{R})$ and thus induce positive-definite representations. The remaining 2 wedges induce non-definite representations.

Recall that every surface of type F_5 contains 12 mutually self-conjugate double-sixes of the *IV*-kind which induce 24 self-adjoint determinantal representations. For a given

$$\begin{pmatrix} a_1 & a_2 & a_3 & a_4 & a_5 & a_6 \\ \overline{a_2} & \overline{a_1} & \overline{a_4} & \overline{a_3} & \overline{a_6} & \overline{a_5} \end{pmatrix}$$

the wedges where the planes $\pi_{i,i+1}$ and $\pi_{i+1,i}$ $i = 1, 3, 5$, have the same sign either all contain the ovoidal piece or their interior does not intersect $F_5(\mathbb{R})$. We have proved that $\frac{2}{3}$ of the wedges where both planes have the same sign contain the ovoidal piece. Thus it follows that out of 24 self-adjoint determinantal representations 16 are definite. \square

Example 6.5. We use notation consistent with Proof of Theorem 6.4. Let F_5 be a surface with equation

$$\left(\frac{100}{24}z_0^2 + z_1^2\right)(z_0 + z_2) - z_3\left(z_3 - \frac{1}{2}z_2\right)\left(z_3 - \frac{2}{3}z_2\right) = 0.$$

It has 3 real lines on the plane $\alpha : z_0 + z_2 = 0$. Through the line

$$r : z_0 + z_2 = 3z_3 - 2z_2 = 0$$

there are 4 real tritangent planes, each containing two intersecting complex conjugate lines:

$$\begin{aligned} \gamma &: z_0 + 0.98987z_2 + 0.01519z_3, \\ \beta &: z_0 + 0.01345z_2 + 1.47982z_3, \\ \beta' &: z_0 - 3.00333z_2 + 6.00499z_3, \\ \gamma' &: 3z_3 - 2z_2. \end{aligned}$$

Determinantal representation

$$\begin{pmatrix} -z_0 - 0.98987z_2 - 0.01519z_3 & 0 & \frac{2.04124z_0 - iz_1 + 8.14425z_3}{28.68441(1-i)} \\ 0 & 3z_3 - 2z_2 & (1+i)\left(\frac{1}{2}z_0 - i\frac{\sqrt{6}}{10}z_1\right) \\ \frac{2.04124z_0 + iz_1 + 8.14425z_3}{28.68441(1+i)} & (1-i)\left(\frac{1}{2}z_0 + i\frac{\sqrt{6}}{10}z_1\right) & -0.02020z_3 \end{pmatrix}$$

is definite since it is spanned by the wedge $\pi_{21} = \gamma$, $\pi_{12} = \gamma'$ which contains the ovoidal piece of F_5 . For example, evaluate the representation at

$$z_0 = 0.02, \quad z_1 = 0, \quad z_2 = -1.2, \quad z_3 = -0.3$$

and see that its eigenvalues 1.50013, 1.17540, 0.00293 are all positive.

On the other hand, determinantal representation

$$U = \begin{pmatrix} \frac{z_0 - 3.00333z_2 + 6.00499z_3}{-0.12000} & 0 & \frac{2.04124z_0 - iz_1 - 0.00679z_3}{0.49979(1-i)} \\ 0 & 3z_3 - 2z_2 & (1+i)\left(\frac{1}{2}z_0 - i\frac{\sqrt{6}}{10}z_1\right) \\ \frac{2.04124z_0 + iz_1 - 0.00679z_3}{0.49979(1+i)} & (1-i)\left(\frac{1}{2}z_0 + i\frac{\sqrt{6}}{10}z_1\right) & 0.00666z_3 \end{pmatrix}$$

spanned by $\pi_{21} = \beta'$, $\pi_{12} = \gamma'$ is non-definite. In order to prove this, consider equivalent representation $U' = TUT^*$ where

$$T = \begin{pmatrix} 0.02048(1+i) & 0.08309(1+i) & -i0.24990 \\ -0.00666(1+i) & 0.02040(1-i) & 12.25254 \\ -0.24495(1+i) & 1.00042(1+i) & 0 \end{pmatrix}.$$

It is easy to check that

$$U' = z_3 \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix} + z_1 \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} + z_2 \begin{pmatrix} -0.00662 & -0.01360i & -0.58361 \\ 0.01361i & 0.00055 & 0 \\ -0.58361 & 0 & -1 \end{pmatrix} + z_0 \begin{pmatrix} -0.09032 & 2.04691i & 0.08361 \\ -2.04691i & -0.16722 & 0.02718i \\ 0.08361 & -0.02719i & -1 \end{pmatrix}$$

and $\text{Det } U = \text{Det } U'$.

With Mathematica 5.0 we compute the eigenvalues of U' . They are solutions $\lambda_i(z_0, z_1, z_2, z_3)$, $i = 1, 2, 3$ of the cubic equation

$$\begin{aligned} & \Lambda^3 + \Lambda^2(1.25754z_0 + 1.00607z_2 - z_3) + \\ & \Lambda(-3.92492z_0^2 - z_1^2 + 0.41797z_0z_2 - 0.33472z_2^2 - 1.25754z_0z_3 + 0.16060z_2z_3 - z_3^2) \\ & - 4.16667z_0^3 - z_0z_1^2 - 4.16667z_0^2z_2 - z_1^2z_2 + 0.33333z_2^2z_3 - 1.16667z_2z_3^2 + z_3^3 = 0. \end{aligned}$$

From

$$(\Lambda - \lambda_1)(\Lambda - \lambda_2)(\Lambda - \lambda_3) = \Lambda^3 - \Lambda^2(\lambda_1 + \lambda_2 + \lambda_3) + \Lambda(\lambda_1\lambda_2 + \lambda_1\lambda_3 + \lambda_2\lambda_3) - \lambda_1\lambda_2\lambda_3$$

we observe the following: suppose that there exist values z_0, z_1, z_2, z_3 for which all λ_i are of the same sign. Then

$$\begin{aligned} & \lambda_1\lambda_2 + \lambda_1\lambda_3 + \lambda_2\lambda_3 = \\ & -3.92492z_0^2 - z_1^2 + 0.41797z_0z_2 - 0.33472z_2^2 - 1.25754z_0z_3 + 0.16060z_2z_3 - z_3^2 \end{aligned}$$

is positive. But this equals

$$-(1.87656z_0 - 0.11137z_2)^2 - (0.63516z_0 + 0.98995z_3)^2 - (0.56773z_2 - 0.14144z_3)^2 - z_1^2$$

which leads to contradiction. This finishes the proof that U' is non-definite representation.

Finally we prove that (unlike surfaces of types F_2, F_3, F_4) the self-adjoint representation $U' = z_0U_0 + z_1U_1 + z_2U_2 + z_3U_3$ has no self-orthogonal vector $h = (h_0, h_1, h_2)$. Again argue by contradiction. We can assume that $h_0 = 1$. From

$$\begin{aligned} hU_3h^* &= h_1\overline{h_1} + h_2 + \overline{h_2} = 0 \\ hU_1h^* &= h_1 + \overline{h_1} = 0 \end{aligned}$$

we get $\operatorname{Re}(h_1) = 0$ and $\operatorname{Re}(h_2) = -\frac{1}{2}|h_1|^2$. Thus $h = (1, ik_1, -\frac{1}{2}k_1^2 + ik_2)$ for some $k_1, k_2 \in \mathbb{R}$. Then

$$\begin{aligned} hU_2h^* &= -0.00662 - 0.02722k_1 + 0.58416k_1^2 - 0.25k_1^4 - k_2^2 = 0 \\ hU_0h^* &= -0.09032 + 4.09382k_1 - 0.25083k_1^2 + 0.02719k_1^3 - 0.25k_1^4 - k_2^2 = 0 \end{aligned}$$

It is easy to check that this system has no real solution. Therefore there are no self-orthogonal vectors for U' .

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