

## PRODUCTS OF COMMUTING NILPOTENT OPERATORS\*

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**Abstract.** We characterize the matrices that are products of two (or more) commuting square-zero matrices and matrices that are products of two commuting nilpotent matrices. We also give a characterization of operators on an infinite dimensional Hilbert space that are products of two (or more) commuting square-zero operators and operators on an infinite-dimensional vector space that are products of two commuting nilpotent operators.

**1. Introduction.** Is every complex singular square matrix a product of two nilpotent matrices? Laffey [5] and Sourour [8] proved that the answer is positive: any complex singular square matrix  $A$  (which is not  $2 \times 2$  nilpotent with rank 1) is a product of two nilpotent matrices with ranks both equal to the rank of  $A$ . Earlier Wu [9] studied the problem. (Note that [9, Lem. 3] holds but the decomposition given in its proof on [9, p. 229] is not correct since the latter matrix given for the odd case is not always nilpotent.) Novak [6] characterized all singular matrices in  $\mathcal{M}_n(\mathbb{F})$ , where  $\mathbb{F}$  is a field, which are a product of two square-zero matrices. Related problem of existence of  $k$ -th root of a nilpotent matrix was studied by Psarrakos in [7].

Similar results were proved for the set  $\mathcal{B}(\mathcal{H})$  of all bounded (linear) operators on an infinite-dimensional separable Hilbert space  $\mathcal{H}$ . Fong and Sourour [3] proved that every compact operator is a product of two quasinilpotent operators and that a normal operator is a product of two quasinilpotent operators if and only if 0 is in its essential spectrum. Drnovšek, Müller, and Novak [2] proved that an operator is a product of two quasinilpotent operators if and only if it is not semi-Fredholm. Novak [6] characterized operators that are products of two and of three square-zero operators.

Here we consider similar questions for products of commuting square-zero or commuting nilpotent operators on a finite dimensional vector space or on an infinite-dimensional Hilbert or vector space. The commutativity condition considerably restricts the set of operators that are such products. Namely, if  $A = BC$  and  $B, C$  are commuting nilpotent operators then  $A$  is nilpotent as well and it commutes with both  $B$  and  $C$ . If in addition  $B$  and  $C$  are square-zero then so is  $A$ .

In the paper we characterize the following sets of matrices and operators:

- Matrices that are products of  $k$  commuting square-zero matrices for each  $k \geq 2$ .
- Matrices that are products of two commuting nilpotent matrices.
- Operators on a Hilbert space that are products of  $k$  commuting square-zero operators for each  $k \geq 2$ .

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\* The research was supported in part by the Research Agency of the Republic of Slovenia.  
Date: August 6, 2007.

- Operators on an infinite-dimensional vector space that are products of two commuting nilpotent operators.

## 2. When is a matrix a product of commuting square-zero matrices?.

First we consider the following question:

QUESTION 1. Which matrices  $A \in \mathcal{M}_n(\mathbb{F})$  can be written as a product  $A = BC$ , where  $B^2 = C^2 = 0$  and  $BC = CB$ ?

Observe that if  $A$ ,  $B$  and  $C$  are as above then  $B$  and  $C$  commute with  $A$ .

EXAMPLE 2.1. It can be easily seen that

$$E_{13} = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix},$$

but  $E_{13}$  cannot be written as a product of two commuting square-zero matrices. Therefore the set of matrices that can be written as a product of two commuting square-zero matrices is not the same as the set of matrices that are products of two square-zero matrices.

Next, we have that

$$\begin{aligned} E_{14} &= \begin{bmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \\ &= \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{bmatrix} \end{aligned}$$

and

$$\begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{bmatrix}^2 = \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}^2 = 0.$$

Thus  $E_{14}$  is a product of two commuting square-zero matrices.  $\square$

We denote by  $J_{\underline{\mu}} = J_{(\mu_1, \mu_2, \dots, \mu_t)} = J_{\mu_1} \oplus J_{\mu_2} \oplus \dots \oplus J_{\mu_t}$  the upper triangular nilpotent matrix in its Jordan canonical form with blocks of order  $\mu_1 \geq \mu_2 \geq \dots \geq \mu_t > 0$ . If  $A$  is similar to  $J_{\underline{\mu}}$  then we call  $\underline{\mu}$  the *partition* corresponding to  $A$ . We also say that  $\underline{\mu}$  is the Jordan canonical form of  $A$ . For a finite sequence of natural numbers  $\underline{\lambda} = (\lambda_1, \lambda_2, \dots, \lambda_t)$  we denote by  $\text{ord}(\underline{\lambda}) = \underline{\mu}$  the ordered sequence  $\mu_1 \geq \mu_2 \geq \dots \geq \mu_t$ .

Let  $\iota(A)$  denote the index of nilpotency of matrix  $A$ . For a nilpotent matrix  $A$ , define a sequence

$$\mathcal{J}(A) = (\alpha_1, \alpha_2, \dots, \alpha_{\iota(A)}) = (\alpha_1, \alpha_2, \dots, \alpha_n)$$

where  $\alpha_i$  is the number of Jordan blocks of the size  $i$  and  $\alpha_j = 0$  for  $j > \iota(A)$ . Note that  $\sum_{j=1}^n j\alpha_j = n$ .

If  $C$  commutes with  $J_\mu$  it is of the form  $C = [C_{ij}]$ , where  $C_{ij} \in \mathcal{M}_{\mu_i \times \mu_j}$  and  $C_{ij}$  are all upper triangular Toeplitz matrices (see e. g. [4, p. 297]), i.e. for  $1 \leq i \leq j \leq t$  we have

$$C_{ij} = \begin{bmatrix} 0 & \dots & 0 & c_{ij}^0 & c_{ij}^1 & \dots & c_{ij}^{\mu_i-1} \\ \vdots & & \ddots & 0 & c_{ij}^0 & \ddots & \vdots \\ \vdots & & & \ddots & 0 & \ddots & c_{ij}^1 \\ 0 & \dots & \dots & \dots & \dots & 0 & c_{ij}^0 \end{bmatrix} \quad \text{and} \quad C_{ji} = \begin{bmatrix} c_{ji}^0 & c_{ji}^1 & \dots & c_{ji}^{\mu_i-1} \\ 0 & c_{ji}^0 & \ddots & \vdots \\ \vdots & \ddots & \ddots & c_{ji}^1 \\ \vdots & & 0 & c_{ji}^0 \\ \vdots & & & 0 \\ \vdots & & & \vdots \\ 0 & \dots & \dots & 0 \end{bmatrix}. \quad (2.1)$$

If  $\mu_i = \mu_j$  then we omit the rows or columns of zeros in  $C_{ji}$  or  $C_{ij}$  above.

**PROPOSITION 2.2.** *A matrix  $A$  is a product of two commuting square-zero matrices if and only if it has a Jordan canonical form  $(2^x, 1^{n-2x})$  for some  $x \leq \frac{n}{4}$ , i.e. if and only if  $\mathcal{J}(A) = (n - 2x, x)$  for some  $x \leq \frac{n}{4}$ .*

*Proof.* Since  $A^2 = B^2C^2 = 0$ , it follows that also  $A$  is a square-zero matrix. Since  $B^2 = 0$ , the Jordan canonical form of matrix  $B$  is equal to  $\underline{\mu} = (2^a, 1^{n-2a})$  for some  $0 \leq a \leq \frac{n}{2}$ . Suppose that  $B = J_\mu$  is in its Jordan canonical form. Since  $C$  commutes with  $B$  it is of the form  $C = [C_{ij}]$ , where  $C_{ij}$  are given in (2.1). Following Basili [1, p. 60, Lemma 2.3], the matrix  $C$  is similar to

$$\begin{bmatrix} U_1 & X & Y \\ 0 & U_1 & 0 \\ 0 & W & U_2 \end{bmatrix},$$

where  $U_1, X \in \mathcal{M}_{a \times a}$ ,  $Y \in \mathcal{M}_{a \times (n-2a)}$ ,  $W \in \mathcal{M}_{(n-2a) \times a}$ ,  $U_2 \in \mathcal{M}_{(n-2a) \times (n-2a)}$  and  $U_1$  and  $U_2$  are strictly upper triangular matrices. Note that  $B$  is transformed by the same similarity to

$$\tilde{B} = \begin{bmatrix} 0 & I & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}.$$

Take an invertible matrix  $P_1$  such that  $P_1 U_1 P_1^{-1} = J_{\underline{\lambda}}$  and denote

$$\tilde{C} = \begin{bmatrix} P_1 & 0 & 0 \\ 0 & P_1 & 0 \\ 0 & 0 & I \end{bmatrix} \begin{bmatrix} U_1 & X & Y \\ 0 & U_1 & 0 \\ 0 & W & U_2 \end{bmatrix} \begin{bmatrix} P_1^{-1} & 0 & 0 \\ 0 & P_1^{-1} & 0 \\ 0 & 0 & I \end{bmatrix} = \begin{bmatrix} J_{\underline{\lambda}} & X' & Y' \\ 0 & J_{\underline{\lambda}} & 0 \\ 0 & W' & U_2 \end{bmatrix}.$$

Note that  $\tilde{B}$  does not change under the above similarity. Since  $C^2 = 0$ , also  $\tilde{C}^2 = 0$  and thus  $J_{\underline{\lambda}}^2 = 0$ . Therefore,  $\underline{\lambda} = (2^x, 1^{n-2x})$ , where  $0 \leq x \leq \frac{n}{2} \leq \frac{n}{4}$ . We see that

$$\tilde{B}\tilde{C} = \begin{bmatrix} 0 & I & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} J_{\underline{\lambda}} & X' & Y' \\ 0 & J_{\underline{\lambda}} & 0 \\ 0 & W' & U_2 \end{bmatrix} = \begin{bmatrix} 0 & 0 & J_{\underline{\lambda}} \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}.$$

Now, it easily follows that  $\text{rk}(A) = \text{rk}(\tilde{B}\tilde{C}) = x$ . Since  $A^2 = 0$ , we see that  $A$  must have Jordan canonical form  $(2^x, 1^{n-2x})$  for some  $x \leq \frac{n}{4}$ .

Now, take a nilpotent matrix  $A$  with its Jordan canonical form  $(2^x, 1^{n-2x})$ , where  $x \leq \frac{n}{4}$ . Then there exists an invertible matrix  $Q$  such that

$$QAQ^{-1} = \underbrace{\begin{bmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \oplus \begin{bmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \oplus \dots \oplus \begin{bmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}}_x \oplus \underbrace{0 \oplus 0 \oplus \dots \oplus 0}_{n-4x}.$$

In Example 2.1 we observed that the matrix  $E_{14}$  is a product of two commuting square-zero matrices. Then it follows that  $QAQ^{-1}$  and  $A$  are also products of two commuting square-zero matrices. We have proved the proposition.  $\square$

**THEOREM 2.3.** *A matrix  $A$  is a product of  $k$  pairwise commuting square-zero matrices if and only if it has a Jordan canonical form  $(2^x, 1^{n-2x})$  for some  $x \leq \frac{n}{2k}$ , i.e. if and only if  $\mathcal{J}(A) = (n - 2x, x)$  for some  $x \leq \frac{n}{2k}$ .*

*Proof.* Let  $A$  be a matrix with Jordan canonical form  $(2^x, 1^{n-2x})$  for some  $x \leq \frac{n}{2k}$ . Then it is similar to a matrix

$$A' = \underbrace{E_{1\ 2^k} \oplus E_{1\ 2^k} \oplus \dots \oplus E_{1\ 2^k}}_x \oplus \underbrace{0 \oplus 0 \oplus \dots \oplus 0}_{n-2^k x},$$

where  $E_{1\ 2^k} \in \mathcal{M}_{2^k}(\mathbb{C})$  is a matrix with only nonzero element (equal to 1) in the upper-right corner. To prove that  $A$  is a product of  $k$  pairwise commuting square-zero matrices it is sufficient to show, that  $E_{1\ 2^k}$  is a product of  $k$  pairwise commuting square-zero matrices.

We define matrices

$$C_i = \begin{bmatrix} 0_{2^{i-1}} & I_{2^{i-1}} \\ 0_{2^{i-1}} & 0_{2^{i-1}} \end{bmatrix} \in \mathcal{M}_{2^i}(\mathbb{C})$$

for every  $i = 1, 2, \dots, k$  and let

$$B_i = \underbrace{C_i \oplus C_i \oplus \dots \oplus C_i}_{2^{k-i}} \in \mathcal{M}_{2^k}(\mathbb{C}).$$

It is easy to check that  $B_i^2 = 0$  and  $B_i B_j = B_j B_i$  for every  $i, j$  and that

$$E_{1\ 2^k} = B_1 B_2 \dots B_k.$$

To prove the converse we have to show that every product of  $k$  pairwise commuting square-zero matrices has rank at most  $\frac{n}{2^k}$ . We will show this by induction. The assertion is true for  $k = 2$  by the previous proposition. Suppose that every product of  $k$  pairwise commuting square-zero matrices has rank at most  $\frac{n}{2^k}$  and let

$$A = B_1 B_2 \dots B_{k+1}$$

where  $B_1, B_2, \dots, B_{k+1}$  are pairwise commuting square-zero matrices. Denote by  $m$  the rank of  $B_1$ . Since  $B_1^2 = 0$  we have that  $m \leq \frac{n}{2}$ . Now the matrix  $B_1$  is similar to a matrix

$$B'_1 = \begin{bmatrix} 0_m & I_m & 0 \\ 0_m & 0_m & 0 \\ 0 & 0 & 0_{2n-m} \end{bmatrix}$$

Again following Basili [1, p. 60, Lemma 2.3], we transform the matrices  $B_i$  simultaneously by similarity to the matrices

$$B'_i = \begin{bmatrix} X_i & Y_i & Z_i \\ 0 & X_i & 0 \\ 0 & U_i & V_i \end{bmatrix}.$$

Here matrices  $X_i$  are square-zero and they pairwise commute. Now

$$\begin{aligned} A' &= B'_1 B'_2 \dots B'_{k+1} = \\ &= \begin{bmatrix} 0 & I & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} X_2 & Y_2 & Z_2 \\ 0 & X_2 & 0 \\ 0 & U_2 & V_2 \end{bmatrix} \dots \begin{bmatrix} X_{k+1} & Y_{k+1} & Z_{k+1} \\ 0 & X_{k+1} & 0 \\ 0 & U_{k+1} & V_{k+1} \end{bmatrix} = \begin{bmatrix} 0 & X_2 \dots X_{k+1} & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \end{aligned}$$

and the matrix  $X_2 \dots X_{k+1}$  is a product of  $k$  pairwise commuting square-zero matrices, so it has the rank at most  $\frac{m}{2^k}$  and thus the rank of  $A$  is at most  $\frac{n}{2^{k+1}}$ .  $\square$

### 3. When is a matrix a product of two commuting nilpotent matrices?.

In this section we study the following question:

QUESTION 2. Which matrices  $A \in \mathcal{M}_n(\mathbb{F})$  can be written as  $A = BC = CB$ , where  $B$  and  $C$  are nilpotent matrices?

Clearly,  $A$  must be nilpotent. Thus, not every singular matrix is a product of two commuting nilpotent matrices.

Moreover, suppose that  $\text{rk}(A) = n-1$  and  $A = BC = CB$  with  $B$  and  $C$  nilpotent. Then also  $\text{rk}(B) = \text{rk}(C) = n-1$  and thus  $B = PJ_nP^{-1}$  and  $P^{-1}CP = p(J_n)$ , where  $p$  is a polynomial such that  $p(0) = 0$ . Then  $A = BC = PJ_np(J_n)P^{-1}$  and thus  $\text{rk}(A) < n-1$ , which is a contradiction. Hence not every nilpotent matrix is a product of two commuting nilpotent matrices (for example  $J_n$  is not).

EXAMPLE 3.1. Suppose  $A = \begin{bmatrix} J_m & 0 \\ 0 & 0 \end{bmatrix}$ , where  $m \geq 3$ . Can  $A$  be written as a product of two commuting nilpotent matrices? Assume that  $A = BC$  is such a product. Since  $B$  and  $C$  commute with  $A$  it follows that  $B = \begin{bmatrix} T_B & W_B \\ V_B & U_B \end{bmatrix}$  and  $C = \begin{bmatrix} T_C & W_C \\ V_C & U_C \end{bmatrix}$ , where  $T_B, T_C \in \mathcal{M}_m(\mathbb{F})$  are (strictly) upper triangular Toeplitz matrices,  $U_B, U_C \in \mathcal{M}_k(\mathbb{F})$  are nilpotent matrices (see Basili [1]),  $W_B, W_C \in \mathcal{M}_{m \times k}(\mathbb{F})$  have the only nonzero entries in the first row and  $V_B, V_C \in \mathcal{M}_{k \times m}(\mathbb{F})$  have the only nonzero entries in the last column.

Since  $A = BC$  it follows that  $J_m = T_B T_C + W_B V_C$ . The product  $W_B V_C$  has the only nonzero entry in the first row and the last column, and  $T_B$  and  $T_C$  are strictly upper-triangular. The assumption that  $m \geq 3$  is needed to conclude that  $T_B T_C + W_B V_C$  is upper triangular Toeplitz matrix with zero superdiagonal. This contradicts the fact that  $J_m$  has nonzero superdiagonal and implies that  $A$  is not a product of two commuting nilpotent matrices.  $\square$

What is the Jordan canonical form of  $J_n^t$  for  $t \geq 2$ ? It is an easy observation that the partition of  $n$  corresponding to  $J_n^t$  is equal to  $(\lambda_1, \lambda_2, \dots, \lambda_t)$ , where  $\lambda_1 - \lambda_t \leq 1$ . We denote this partition by  $r(n, t)$ . If  $n = kt + r$ , where  $0 \leq r < t$ , then  $r(n, t) = ((k+1)^r, k^{t-r})$ . Note that  $k = \lfloor \frac{n}{t} \rfloor$ . It follows that  $\mathcal{J}(J_n^t) = \underbrace{(0, \dots, 0, t-r, r)}_{\lfloor \frac{n}{t} \rfloor - 1}$ .

PROPOSITION 3.2. If a nilpotent matrix  $A$  has a Jordan canonical form

$$\text{ord}(r(n_1, t_1), r(n_2, t_2), \dots, r(n_m, t_m), 1^k),$$

where  $n = k + \sum_{i=1}^m n_i$  and  $t_i \geq 2$  for all  $i$ , then  $A$  can be written as a product of two commuting nilpotent matrices.

*Proof.* Since the Jordan canonical form of  $J_{n_i}^{t_i}$  is  $r(n_i, t_i)$ , matrix  $A$  is similar to  $J_{n_1}^{t_1} \oplus J_{n_2}^{t_2} \oplus \dots \oplus J_{n_m}^{t_m} \oplus \underbrace{0 \oplus 0 \oplus \dots \oplus 0}_k$ , which is obviously equal to the product of two commuting nilpotent matrices

$$\left( J_{n_1} \oplus J_{n_2} \oplus \dots \oplus J_{n_m} \oplus \underbrace{0 \oplus 0 \oplus \dots \oplus 0}_k \right)$$

and

$$\left( J_{n_1}^{t_1-1} \oplus J_{n_2}^{t_2-1} \oplus \dots \oplus J_{n_m}^{t_m-1} \oplus \underbrace{0 \oplus 0 \oplus \dots \oplus 0}_k \right).$$

Thus also  $A$  can be written as a product of two commuting nilpotent matrices.  $\square$

In the following we show that the converse is true as well.

**THEOREM 3.3.** *For a nilpotent matrix  $A$  the following are equivalent:*

- (a)  $A$  can be written as a product of two commuting nilpotent matrices,
- (b)  $A$  has a Jordan canonical form

$$\text{ord}(r(n_1, t_1), r(n_2, t_2), \dots, r(n_m, t_m), \mathbf{1}^k),$$

where  $n = k + \sum_{i=1}^m n_i$  and  $t_i \geq 2$  for all  $i$ ,

- (c)  $\mathcal{J}(A)$  does **not** include a subsequence  $(0, \underbrace{1, 1, \dots, 1}_{2l-1}, 0)$  for any  $l \geq 1$ .

We first prove the following lemma and propositions.

**LEMMA 3.4.** *If  $\mathcal{J}(A) = (\underbrace{0, \dots, 0}_{m-2l+1}, \underbrace{1, \dots, 1}_{2l-1})$ , where  $l \geq 1$  and  $m \geq 2l$ , then  $A$  is*

**not** a product of two commuting nilpotent matrices.

*Proof.* Suppose that  $A = BC = CB$  is nilpotent matrix with  $\mathcal{J}(A)$  as in the statement of the lemma. Let us denote  $s = 2l - 1$  and let us assume that  $A = J_{(m, m-1, \dots, m-s+1)}$ . Then

$$B = \begin{bmatrix} B_{11} & B_{12} & \dots & B_{1s} \\ B_{21} & B_{22} & \dots & B_{2s} \\ \vdots & \vdots & \ddots & \vdots \\ B_{s1} & B_{s2} & \dots & B_{ss} \end{bmatrix} \quad \text{and} \quad C = \begin{bmatrix} C_{11} & C_{12} & \dots & C_{1s} \\ C_{21} & C_{22} & \dots & C_{2s} \\ \vdots & \vdots & \ddots & \vdots \\ C_{s1} & C_{s2} & \dots & C_{ss} \end{bmatrix},$$

where all  $B_{ij}$  and  $C_{ij}$  are upper triangular Toeplitz and we use the notation introduced in (2.1).

Since  $J_m = B_{11}C_{11} + B_{12}C_{21} + \dots + B_{1s}C_{s1} = C_{11}B_{11} + C_{12}B_{21} + \dots + C_{1s}B_{s1}$  and the only possible summands with nonzero superdiagonal are  $B_{12}C_{21}$  and  $C_{12}B_{21}$ , it follows that  $b_{12}^0 c_{21}^0 = c_{12}^0 b_{21}^0 = 1$ . Since  $J_{m-1} = B_{21}C_{12} + B_{22}C_{22} + \dots + B_{2s}C_{s2} = C_{21}B_{12} + C_{22}B_{22} + \dots + C_{2s}B_{s2}$  and the only possible summands with nonzero superdiagonals are  $B_{21}C_{12} + B_{23}C_{31}$  and  $C_{21}B_{12} + C_{23}B_{31}$ , it follows that  $b_{21}^0 c_{12}^0 + b_{23}^0 c_{32}^0 = c_{21}^0 b_{12}^0 + c_{23}^0 b_{32}^0 = 1$  and therefore  $b_{23}^0 c_{32}^0 = c_{23}^0 b_{32}^0 = 0$ .

Similarly, we show by induction, that  $b_{i,i+1}^0 c_{i+1,i}^0 = c_{i,i+1}^0 b_{i+1,i}^0 = 0$  for all even  $i$  and  $b_{i,i+1}^0 c_{i+1,i}^0 = c_{i,i+1}^0 b_{i+1,i}^0 = 1$  for all odd  $i$ . In particular, it follows that  $b_{s-1,s}^0 c_{s,s-1}^0 = c_{s-1,s}^0 b_{s,s-1}^0 = 0$ .

Furthermore,  $J_{m-s+1} = B_{s1}C_{1s} + B_{s2}C_{2s} + \dots + B_{ss}C_{ss} = C_{s1}B_{1s} + C_{s2}B_{2s} + \dots + C_{ss}B_{ss}$  and the only possible summands with nonzero superdiagonals are  $B_{s,s-1}C_{s-1,s}$

and  $C_{s,s-1}B_{s-1,s}$ . It follows that the superdiagonal of  $J_{m-s+1}$  is equal to  $1 = b_{s,s-1}^0 c_{s-1,s}^0 = c_{s,s-1}^0 b_{s-1,s}^0 = 0$ , which is a contradiction.  $\square$

PROPOSITION 3.5. *If  $\mathcal{J}(A) = (\alpha_1, \dots, \alpha_{m-2l}, 0, \underbrace{1, \dots, 1}_{2l-1}, 0, \alpha_{m+2}, \dots, \alpha_n)$ , where  $l \geq 1$ , then  $A$  is **not** a product of two commuting nilpotent matrices.*

*Proof.* Denote  $\underline{\mu} = (n^{\alpha_n}, (n-1)^{\alpha_{n-1}}, \dots, (m+2)^{\alpha_{m+2}})$ ,  $\underline{\lambda} = (m, m-1, \dots, m-2l+2)$  and  $\underline{\mu}' = ((m-2l)^{\alpha_{m-2l}}, (m-2l-1)^{\alpha_{m-2l-1}}, \dots, 1^{\alpha_1})$ .

Suppose that  $A = BC = CB$  with  $\mathcal{J}(A)$  as in the statement. Then we can assume that  $A = J_{\underline{\mu}} \oplus J_{\underline{\lambda}} \oplus J_{\underline{\mu}'}$ ,  $B = \begin{bmatrix} B_{11} & B_{12} & B_{13} \\ B_{21} & B_{22} & B_{23} \\ B_{31} & B_{32} & B_{33} \end{bmatrix}$  and  $C = \begin{bmatrix} C_{11} & C_{12} & C_{13} \\ C_{21} & C_{22} & C_{23} \\ C_{31} & C_{32} & C_{33} \end{bmatrix}$  in the same block partition, where all  $B_{ij}, C_{ij}$  are block upper triangular Toeplitz.

We compute that  $J_{\underline{\lambda}} = B_{21}C_{12} + B_{22}C_{22} + B_{23}C_{32} = C_{21}B_{12} + C_{22}B_{22} + C_{23}B_{32}$ . Since  $m-2l+2 > m-2l+1$ , it follows that superdiagonals of all blocks of  $J_{\underline{\lambda}}$  must be equal to superdiagonals of  $B_{22}C_{22}$  and by symmetry to superdiagonals of  $C_{22}B_{22}$ . We have already seen in the proof of Lemma 3.4 that this is not possible.  $\square$

PROPOSITION 3.6. *If  $\mathcal{J}(A)$  does **not** include a subsequence  $(0, \underbrace{1, 1, \dots, 1}_{2l-1}, 0)$  for any  $l \geq 1$ , then the Jordan canonical form of a matrix  $A$  is equal to*

$$\text{ord}(r(n_1, t_1), r(n_2, t_2), \dots, r(n_m, t_m), 1^k),$$

where  $n = k + \sum_{i=1}^m n_i$  and  $t_i \geq 2$  for all  $i$ .

*Proof.* If  $\mathcal{J}(A)$  does not include a subsequence of the form  $(0, \underbrace{1, 1, \dots, 1}_{2l-1}, 0)$ , then for any subsequence of  $\mathcal{J}(A)$  of the form

$$(0, \alpha_t, \alpha_{t+1}, \dots, \alpha_s, 0), \quad (3.1)$$

for some  $2 \leq t \leq s \leq n$ , where  $\alpha_i \neq 0$  for  $i = t, t+1, \dots, s$  holds either

- (a)  $s-t+1$  is even or
- (b)  $s-t+1$  is odd and there exists  $j$ ,  $t \leq j \leq s$ , such that  $\alpha_j \geq 2$ .

So, the matrix  $A$  can be written as a direct sum  $A_1 \oplus A_2 \oplus \dots \oplus A_r$ , where each  $A_i$  has one of the following forms:

- (i)  $\mathcal{J}(A_i) = (\alpha_1)$  and the Jordan canonical form of  $A_i$  is equal to  $(1^{\alpha_1})$ .
- (ii)  $\mathcal{J}(A_i) = (\underbrace{0, \dots, 0}_{q_i-1}, \alpha_{q_i})$ , where  $\alpha_{q_i} \geq 2$  and the Jordan canonical form of  $A_i$  is equal to  $r(q_i \alpha_{q_i}, \alpha_{q_i})$ .
- (iii)  $\mathcal{J}(A_i) = (\underbrace{0, \dots, 0}_{q_i-1}, \alpha_{q_i}, \alpha_{q_i+1})$  and the Jordan canonical form of  $A_i$  is equal to  $r(q_i \alpha_{q_i} + (q_i + 1) \alpha_{q_i+1}, \alpha_{q_i} + \alpha_{q_i+1})$ .



(iv)  $\mathcal{J}(A_i) = (\underbrace{0, \dots, 0}_{q_i-2}, \alpha_{q_i-1}, \alpha_{q_i}, \alpha_{q_i+1})$ , where  $\alpha_{q_i} \geq 2$  and the Jordan canonical form of  $A_i$  is equal to

$$\text{ord}(r((q_i-1)\alpha_{q_i-1}+q_i(\alpha_{q_i}-1), \alpha_{q_i-1}+\alpha_{q_i}-1), r(q_i+(q_i+1)\alpha_{q_i+1}, 1+\alpha_{q_i+1})).$$

In case (a) we can write each block corresponding to subsequences of the form (3.1) as a direct sum of blocks of type (iii). In the case (b) we use types (ii) and (iii) if there is an odd  $i \geq 1$  such that  $\alpha_{i-1+i} \geq 2$  and types (iii) and (iv) otherwise. If  $\alpha_1 \geq 1$  then the block corresponding to the subsequence  $(\alpha_1, \alpha_2, \dots, \alpha_s, 0)$ ,  $\alpha_i \geq 1$ , is decomposed as a direct sum of blocks of type (iii) if  $s$  is even and a combination of types (i) and (iii) if  $s$  is odd. This proves the proposition.  $\square$

*Proof. (of Theorem 3.3)* Since Proposition 3.5 holds also for  $m = n$ , the implication (a)  $\Rightarrow$  (c) follows from Proposition 3.5. The implication (c)  $\Rightarrow$  (b) is the statement of Proposition 3.6 and the implication (b)  $\Rightarrow$  (a) is the statement of Proposition 3.2.  $\square$

**4. When is an operator a product of commuting square-zero operators?** In this section we assume that  $\mathcal{H}$  is an infinite-dimensional, separable, real or complex Hilbert space. We denote by  $\mathcal{B}(\mathcal{H})$  the algebra of all operators (i.e., bounded linear transformations) on  $\mathcal{H}$ .

QUESTION 3. *Which operators  $A \in \mathcal{B}(\mathcal{H})$  can be written as a product of two commuting square-zero operators?*

Similarly as in the finite-dimensional case we notice that also  $A$  is square-zero. Therefore  $\overline{\text{im } A} \subseteq \ker A$ . So the space  $\overline{\text{im } A} + \ker A$  is closed.

THEOREM 4.1. *Let  $A \in \mathcal{B}(\mathcal{H})$ . Then  $A = BC = CB$ , where  $B^2 = C^2 = 0$ , if and only if  $\dim(\ker A \cap \ker A^*) = \infty$  and  $A^2 = 0$ .*

*Proof.* If  $A$  is a product of two square-zero operators it follows by [6] that  $\dim(\ker A \ominus \overline{\text{im } A}) = \infty$ . Since  $\ker A \ominus \overline{\text{im } A} = \ker A \cap (\overline{\text{im } A})^\perp = \ker A \cap \ker A^*$  we have that  $\dim(\ker A \cap \ker A^*) = \infty$  and  $A^2 = 0$ .

It remains to prove the converse. We can choose a decomposition of  $\mathcal{H}$  as a direct sum of infinite-dimensional subspaces  $\mathcal{H}_1$  and  $\mathcal{H}_2$  such that  $\mathcal{H}_2 \subseteq \ker A \cap \ker A^*$ . The matrix of  $A$  relative to this decomposition is of the form  $\begin{bmatrix} D & 0 \\ 0 & 0 \end{bmatrix}$ . Since  $A^2 = 0$  also  $D^2 = 0$ . Therefore we can find a decomposition of space  $\mathcal{H}_1 = \mathcal{H}_{11} \oplus \mathcal{H}_{12}$ , where both subspaces are infinite-dimensional and  $\overline{\text{im } D} \subseteq \mathcal{H}_{11}$ . The matrix of  $D$  relative to this decomposition is  $\begin{bmatrix} 0 & D_1 \\ 0 & 0 \end{bmatrix}$ . Since  $\mathcal{H}_2$  is infinite-dimensional space, we can write

it as a direct sum of two infinite-dimensional subspaces  $\mathcal{H}_{21}$  and  $\mathcal{H}_{22}$ . The form of  $A$  relative to the decomposition  $\mathcal{H}_{11} \oplus \mathcal{H}_{12} \oplus \mathcal{H}_{21} \oplus \mathcal{H}_{22}$  is

$$\begin{bmatrix} 0 & D_1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}.$$

Define operators  $B$  and  $C$  on  $\mathcal{H}$  by

$$B = \begin{bmatrix} 0 & 0 & D_1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & D_1 & 0 & 0 \end{bmatrix} \quad \text{and} \quad C = \begin{bmatrix} 0 & 0 & 0 & I \\ 0 & 0 & 0 & 0 \\ 0 & I & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}.$$

It is evident that  $A = BC = CB$  and  $B^2 = C^2 = 0$ .  $\square$

The factorization in the proof above is based on the factorization in the finite-dimensional case. Since  $\mathcal{H}_2$  is an infinite-dimensional space, we can write it as a direct sum of  $k$  infinite-dimensional subspaces. Using the factorization in the proof of Theorem 2.3 we get the following result.

**COROLLARY 4.2.** *An operator  $A$  is a product of two commuting square-zero operators if and only if  $A$  is a product of  $k$  square-zero operators.*

**5. When is an operator a product of two commuting nilpotent operators?** Let  $V$  be an infinite-dimensional vector space and  $A : V \rightarrow V$  a nilpotent operator with index of nilpotency  $n$ . We proceed to define the sequence

$$\mathcal{J}(A) = (\alpha_1, \alpha_2, \dots, \alpha_n),$$

where now  $\alpha_i \in \mathbb{N} \cup \{0, \infty\}$ .

For  $k = 0, 1, \dots, n-1$  we choose subspaces  $V_{n-k}$  such that:

1. For  $k = 0$  we have  $V = \ker A^n = \ker A^{n-1} \oplus V_n$ .
2. For  $k > 0$  we have  $\ker A^{n-k} = \ker A^{n-k-1} \oplus AW_{n-k+1} \oplus V_{n-k}$ , where  $W_{n-k+1} = AW_{n-k+2} \oplus V_{n-k+1}$  and  $W_{n+1} = 0$ .

Then we define  $\alpha_i = \dim V_i$ ,  $i = 1, 2, \dots, n$ . Observe that if  $\dim V < \infty$  then this definition of  $\mathcal{J}(A)$  coincides with the one given in §2.

Observe that if an operator  $A$  is a product of two commuting nilpotent operators, then  $A$  is also a nilpotent operator.

**THEOREM 5.1.** *A nilpotent operator  $A$  with  $\mathcal{J}(A) = (\alpha_1, \alpha_2, \dots, \alpha_n)$  is a product of two commuting nilpotent operators if and only if a matrix  $B$  with  $\mathcal{J}(B) = (\beta_1, \beta_2, \dots, \beta_n)$ , where  $\beta_i = \min\{\alpha_i, 2\}$ , is a product of two commuting nilpotent matrices.*

Before we prove the theorem let us show the following two lemmas.

LEMMA 5.2. *If  $\mathcal{J}(A) = (0, \dots, 0, \infty)$ , then  $A$  is a product of two commuting nilpotent operators.*

*Proof.* Since  $\alpha_i = 0$  for  $i \neq n$ , it follows that all the indecomposable blocks are of size  $n$ . Then  $A$  is similar to  $\bigoplus_{k=1}^{\infty} J_{k,n} = \bigoplus_{k=1}^{\infty} (J_{2k-1,n} \oplus J_{2k,n})$ , where  $J_{k,n}$ ,  $k \in \mathbb{N}$ , are indecomposable blocks of  $A$  each of them similar to  $J_n$ . Since  $J_{2k-1,n} \oplus J_{2k,n}$  is a product of two commuting nilpotent matrices by Theorem 3.3, the assertion follows.  $\square$

LEMMA 5.3. *Let  $A$  be a nilpotent matrix with  $\mathcal{J}(A) = (\alpha_1, \alpha_2, \dots, \alpha_n)$ , where there exists an index  $j$  such that  $\alpha_j \geq 2$ . Suppose that  $B$  is a matrix with  $\mathcal{J}(B) = (\beta_1, \beta_2, \dots, \beta_n)$ , where  $\beta_i = \alpha_i$  for  $i \neq j$  and  $\beta_j = \alpha_j + 1$ . Then  $A$  is a product of two commuting nilpotent matrices if and only if  $B$  is a product of two commuting nilpotent matrices.*

*Proof.* By Theorem 3.3 a matrix  $A$  is a product of two commuting nilpotent matrices if and only if  $\mathcal{J}(A)$  is not of the form

$$(\alpha_1, \dots, \alpha_i, 0, \underbrace{1, \dots, 1}_{2l-1}, 0, \alpha_k, \dots, \alpha_n). \quad (5.1)$$

It follows easily that matrices  $A$  and  $B$  are simultaneously the products of two commuting nilpotent matrices.  $\square$

*Proof. (of Theorem 5.1)* Suppose that  $A$  is a product of two commuting nilpotent operators. Similarly as in the finite-dimensional case we can show that  $\mathcal{J}(A)$  can not be of the form (5.1). Hence also  $\mathcal{J}(B)$  is not of that form and therefore  $B$  is a product of two commuting nilpotent matrices.

To prove the converse write  $A$  as a direct sum of  $A_1$  and  $A_2$  with

$$\mathcal{J}(A_j) = (\alpha_{1j}, \alpha_{2j}, \dots, \alpha_{nj})$$

so that  $\alpha_{i1} = \alpha_i$  and  $\alpha_{i2} = 0$  if  $\alpha_i$  is finite, and  $\alpha_{i1} = 2$  and  $\alpha_{i2} = \infty$  otherwise. It suffices to show that  $A_1$  and  $A_2$  are the products of commuting nilpotent operators, which follows from the lemmas above.  $\square$

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