

# ON PRO- $p$ GROUPS WITH POTENT FILTRATIONS

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**ABSTRACT.** In this note we prove that if  $G$  is PF-group of finite exponent, then the exponent of the second homology group  $H_2(G, M)$  divides the exponent of  $G$  for every profinite trivial  $[\hat{\mathbb{Z}}G]$ -module  $M$ . We introduce the notion of the exponential rank of a pro- $p$  group, and find a bound for the exponential rank of a PF-group.

## 1. INTRODUCTION

In 1987, Lubotzky and Mann [10, 11] introduced the notion of powerful  $p$ -groups and powerful pro- $p$  groups. These groups had been implicitly studied before by Lazard [8] and Arganbright [2]. Powerful groups have a particularly nice power-commutator structure, and have had an important role in the theory of finite  $p$ -groups and pro- $p$  groups. In their paper [10], Lubotzky and Mann obtained some properties of the Schur multiplier  $H_2(G, \mathbb{Z})$  of a powerful  $p$ -group  $G$ . In particular, they showed that if  $G$  is a powerful  $p$ -group, then the exponent of  $H_2(G, \mathbb{Z})$  divides the exponent of  $G$ . The question whether  $\exp H_2(G, \mathbb{Z})$  divides  $\exp G$  for every finite group seems to have been a longstanding open problem, probably going all the way back to Schur. It is now known that the answer is negative in general, see, for example, [13]. On the other hand, the counterexamples seem to be quite rare. It is still not known whether or not there exists a finite group  $G$  of odd order such that  $\exp H_2(G, \mathbb{Z})$  does not divide  $\exp G$ .

Recently, Fernández-Alcober, González-Sánchez, and Jaikin-Zapirain [4] defined a new family of pro- $p$  groups, the so called PF-groups. These groups generalize the concepts of powerful pro- $p$  groups and potent pro- $p$  groups [6]. They have been used successfully in studying the power structure of pro- $p$  groups [4]. Furthermore, González-Sánchez [5] proved that a torsion-free pro- $p$  group is a PF-group if and only if it is  $p$ -saturable (in the sense of Lazard). The purpose of this paper is to study the power structure of central extensions of PF-groups. As a consequence we generalize the above mentioned result of Lubotzky and Mann by proving that if  $G$  is a PF-group of finite exponent, then  $\exp H_2(G, M)$  divides  $\exp G$  for every profinite trivial  $[\hat{\mathbb{Z}}G]$ -module  $M$ . This also generalizes a result of Ellis [3]. In the second part of the paper we follow the approach from [13] and define the exponential rank  $\text{exprank}(G)$  of a center-by-finite-exponent pro- $p$  group  $G$ . We first examine the relationship between the exponential rank of a pro- $p$  group and exponential rank of its finite quotients. Then we prove that if  $G$  is a PF-group, then  $\text{exprank}(G) \leq 1$ . We show by an example that this estimate is best possible. When  $G$  is potent, then this result can be further refined. We namely show that potent pro- $p$  groups have zero exponential rank if  $p$  is odd. When  $p = 2$ , the exponential rank is precisely 1 unless the group in question is abelian.

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A word about the notations. If  $G$  is a pro- $p$  group, then all the subgroups will be considered in a topological sense, i.e., as topological closures of corresponding abstract subgroups. For other unexplained notations we refer to the book of Ribes and Zaleskii [14], and [4].

## 2. CENTRAL EXTENSIONS OF PF-GROUPS AND HOMOLOGY

Let  $G$  be a pro- $p$  group. Following [4], we say that a descending chain  $(N_i)_{i \in \mathbb{N}}$  of closed subgroups of  $G$  is a *potent filtration* of  $G$  if its intersection  $\bigcap_{i \in \mathbb{N}} N_i$  is trivial, and  $[N_i, G] \leq N_{i+1}$  and  $[N_i, {}_{p-1}G] \leq N_{i+1}^p$  for all  $i \in \mathbb{N}$ . A subgroup  $N$  of  $G$  is said to be *PF-embedded* in  $G$  if there is a potent filtration of  $G$  starting at  $N$ . We also say that  $G$  is a *PF-group* if it is PF-embedded in itself. The notion of PF-groups is a generalization of that of potent pro- $p$  groups [6], and powerful pro- $p$  groups [10].

The main result of this section is the following.

**Theorem 2.1.** *Let  $G$  be a PF pro- $p$  group and let  $H$  be a pro- $p$  group with  $Z \leq Z(H)$  such that  $H/Z \cong G$ . Then  $[H^{p^i}, H] = [H, H]^{p^i}$  for all nonnegative integers  $i$ .*

Before proving this theorem, we mention the following two auxiliary results proved in [4].

**Lemma 2.2** ([4]). *Let  $G$  be a pro- $p$  group and let  $M$  and  $N$  be closed normal subgroups of  $G$ . Then*

$$[N^{p^k}, M] \equiv [N, M]^{p^k} \pmod{\prod_{i=1}^k [M, {}_{p^i}N]^{p^{k-i}}}$$

for all nonnegative integers  $k$ .

**Lemma 2.3** ([4]). *Let  $G$  be a pro- $p$  group, and  $N$  a PF-embedded subgroup of  $G$ . Then we have the following:*

- (a)  $N/K$  is PF-embedded in  $G/K$  for every closed normal subgroup  $K$  of  $G$ .
- (b) Both  $N^p$  and  $[N, G]$  are PF-embedded in  $G$ .
- (c)  $[N^{p^i}, G^{p^j}] = [N, G]^{p^{i+j}}$  for all  $i, j \geq 0$ .
- (d)  $(N^{p^i})^{p^j} = N^{p^{i+j}}$  for all  $i, j \geq 0$ .

*Proof of Theorem 2.1.* Let  $G$ ,  $H$ , and  $Z$  be as above. Let  $\mathcal{U}$  be the collection of all open normal subgroups of  $H$ . Let  $U \in \mathcal{U}$ . Then  $ZU/U$  is a central subgroup of  $H/U$ , and  $(H/U)/(ZU/U) \cong H/ZU$  is a PF pro- $p$  group. If we prove that the conclusion of the theorem holds true for all  $H/U$ , where  $U \in \mathcal{U}$ , then  $[H^{p^i}, H]U = [H, H]^{p^i}U$  for all  $U \in \mathcal{U}$ , and therefore  $[H^{p^i}, H] = [H, H]^{p^i}$ . Thus in order to prove that  $[H^{p^i}, H] = [H, H]^{p^i}$ , it suffices to show this for every finite quotient of  $H$ , therefore we may assume without loss of generality that  $H$  is a finite  $p$ -group. Let  $G = N_1 \geq N_2 \geq \dots \geq N_k = 1$  be a potent filtration of  $G$ . Taking preimages in  $H$ , we obtain a descending chain  $H = M_1 \geq M_2 \geq \dots \geq M_k = Z$  of closed subgroups of  $H$  such that  $[M_i, H] \leq M_{i+1}$ , and  $[M_i, {}_{p-1}H] \leq M_{i+1}^p$  for all  $i = 1, \dots, k$ . The last condition implies that

$$(2.3.1) \quad [M_i, {}_pH] \leq [M_{i+1}^p, H]$$

for all  $i = 1, \dots, k$ . We claim that  $([M_i, H])_{i \in \mathbb{N}}$  is a potent filtration for  $H$ . The only nontrivial thing to be verified is that  $[M_i, {}_pH] \leq [M_{i+1}, H]^p$ . Using Lemma 2.2, we get  $[M_{i+1}^p, H] \leq [M_{i+1}, H]^p [M_{i+1}, {}_pH] \leq [M_{i+1}, H]^p [M_{i+2}^p, H]$ . By induction,  $[M_{i+1}^p, H] \leq [M_{i+1}, H]^p [M_{i+j}^p, H]$  for all  $j \geq 1$ . As  $M_k = Z$ , we conclude that  $[M_{i+1}^p, H] \leq [M_{i+1}, H]^p$ , hence also  $[M_i, {}_pH] \leq [M_{i+1}, H]^p$ , as required.

We now claim that  $[M_i^{p^j}, H] = [M_i, H]^{p^j}$  for all positive integers  $i$  and  $j$ . We prove this by induction on  $j$ . The above argument implies that  $[M_i^p, H] \leq [M_i, H]^p$ . On the other hand, Lemma 2.2 gives  $[M_i, H]^p \leq [M_i^p, H][M_i, {}_pH] \leq [M_i^p, H][M_{i+1}^p, H] = [M_i^p, H]$ , therefore  $[M_i^p, H] = [M_i, H]^p$ . Suppose now that  $[M_i^{p^r}, H] = [M_i, H]^{p^r}$  for all positive integers  $i$  and  $r < j$ , where  $j > 1$ . We have that

$$[M_i^{p^j}, H] \equiv [M_i, H]^{p^j} \pmod{\prod_{\ell=1}^j [H, {}_{p^\ell}M_i]^{p^{j-\ell}}},$$

by Lemma 2.2. As  $[M_i, H]$  are PF-embedded in  $H$ , induction argument gives that  $[M_i, {}_{t(p-1)+1}H] \leq [M_i, H]^{p^t}$  for all  $t \geq 0$ . As  $p^\ell \geq \ell(p-1) + 1$  for all  $\ell \geq 1$ , we therefore conclude that  $[H, {}_{p^\ell}M_i]^{p^{j-\ell}} \leq [M_i, {}_{p^\ell}H]^{p^{j-\ell}} \leq ([M_i, H]^{p^\ell})^{p^{j-\ell}} = [M_i, H]^{p^j}$ . This shows that  $[M_i^{p^j}, H] \leq [M_i, H]^{p^j}$ . To prove the reverse inclusion, note first that  $p^\ell \geq \ell(p-1) + 2$  for all  $\ell \geq 2$ , therefore  $[H, {}_{p^\ell}M_i]^{p^{j-\ell}} \leq [M_i, {}_{p^\ell}H]^{p^{j-\ell}} \leq [[M_i, {}_{\ell(p-1)+1}H], H]^{p^{j-\ell}} \leq [[M_i, H]^{p^\ell}, H]^{p^{j-\ell}} = [[M_i, H]^{p^j}, H] \leq [M_i^{p^j}, H]$  for all  $\ell \geq 2$ . It remains to consider  $[H, {}_pM_i]^{p^{j-1}}$ . We clearly have that  $[H, {}_pM_i]^{p^{j-1}} \leq [M_i, {}_pH]^{p^{j-1}} \leq [M_{i+1}^p, H]^{p^{j-1}} \leq [M_i^p, H]^{p^{j-1}}$  by (2.3.1). Let us prove that the equation (2.3.1) still holds when  $M_i$  are replaced by  $M_i^p$  throughout. We prove this by reverse induction on  $i$ . Using Lemma 2.2 and induction assumption, we get  $[M_i^p, {}_pH] = [M_i, {}_pH]^p \leq [M_{i+1}^p, H]^p \leq [(M_{i+1}^p)^p, H][H, {}_pM_{i+1}^p] \leq [(M_{i+1}^p)^p, H][M_{i+1}^p, {}_pH] \leq [(M_{i+1}^p)^p, H][(M_{i+2}^p)^p, H] = [(M_{i+1}^p)^p, H]$ , as required. Thus can apply the induction assumption on  $j$  to conclude that  $[H, {}_pM_i]^{p^{j-1}} \leq [M_i^p, H]^{p^{j-1}} = [(M_i^p)^{p^{j-1}}, H]$ . By Lemma 2.3 we have that the equality  $(N_i^p)^{p^{j-1}} = N_i^{p^j}$  holds, hence  $(M_i^p)^{p^{j-1}}Z = M_i^{p^j}Z$ . Commuting with  $H$ , we get  $[(M_i^p)^{p^{j-1}}, H] = [M_i^{p^j}, H]$ . This concludes the proof.  $\square$

The above result has the following consequence for the homology of PF-groups.

**Corollary 2.4.** *Let  $G$  be a PF pro- $p$  group of finite exponent and let  $M$  be a profinite trivial  $[\hat{\mathbb{Z}}G]$ -module. Then  $\exp H_2(G, M)$  divides  $\exp G$ .*

*Proof.* First assume that  $G$  is finite. Applying Theorem 2.1 to a covering group of  $G$ , we get that  $\exp H_2(G, \mathbb{Z})$  divides  $\exp G$ . Let  $M$  be a trivial  $\mathbb{Z}G$ -module. Then the Universal Coefficient Theorem implies that  $H_2(G, M) \cong (H_2(G, \mathbb{Z}) \otimes M) \oplus \text{Tor}_1^{\mathbb{Z}}(G^{\text{ab}}, M)$ , hence  $\exp H_2(G, M)$  divides  $\exp G$ . This proves the theorem in the finite case. As for the pro- $p$  case, let  $\mathcal{U}$  be the collection of open normal subgroups of  $G$ , and  $M$  a profinite trivial  $[\hat{\mathbb{Z}}G]$ -module. Then we have [14, Corollary 6.5.8] that

$$H_2(G, M) = \varprojlim_{U \in \mathcal{U}} H_2(G/U, M_U),$$

hence the result follows from the above conclusion.  $\square$

Corollary 2.4 also holds for potent pro- $p$  groups, i.e., pro- $p$  groups satisfying  $\gamma_{p-1}(G) \leq G^p$  if  $p$  is odd, or  $\gamma_2(G) \leq G^4$  when  $p = 2$  [6]. For, it is straightforward to see that every potent pro- $p$  group is a PF-group. Another related class of groups was considered by Ellis [3]. He introduced the class  $\mathcal{C}_p$  consisting of finite  $p$ -groups  $G$  satisfying  $[G^{p^{i-1}}, G, G] \leq G^{p^i}$  for all  $1 \leq i \leq e$ , where  $\exp G = p^e$ . Ellis proved that if  $G$  is a finite  $p$ -group belonging to  $\mathcal{C}_p$ , then  $\exp H_2(G, \mathbb{Z})$  divides  $\exp G$ . Extending this notion, we define  $\hat{\mathcal{C}}_p$  to be the class of all pro- $p$  groups  $G$  satisfying  $[G^{p^{i-1}}, G, G] \leq G^{p^i}$  for all  $i \in \mathbb{N}$ . It is now clear that if  $p > 3$ , then every  $\hat{\mathcal{C}}_p$ -group

is potent. Thus Corollary 2.4 also applies to pro- $p$  groups belonging to  $\hat{\mathcal{C}}_p$ , where  $p > 3$ .

### 3. EXPONENTIAL RANK

Let  $n$  be an integer. A group  $G$  is said to be  $n$ -abelian if it satisfies the law  $(xy)^n = x^n y^n$ . The study of  $n$ -abelian groups was initiated by Levi in [9]. Alperin [1] showed that if  $G$  is  $n$ -abelian for some  $n \neq 0, 1$ , then both  $\exp G/Z(G)$  and  $\exp \gamma_2(G)$  divide  $n(n-1)$ . Kappe [7] considered the sets  $\mathcal{E}(G) = \{n \in \mathbb{Z} \mid G \text{ is } n\text{-abelian}\}$ . She found arithmetic characterizations of these sets. In the case of finite  $p$ -groups these were further refined in [13].

Let  $G$  be a pro- $p$  group and suppose that  $\exp G/Z(G) = p^e$ . Then  $G/Z(G)$  is locally finite by a result of Zelmanov [15]. Using a result of Mann [12], we conclude that  $\exp G'$  is  $(p, e)$ -bounded (Mann's result holds true for abstract groups, but can be extended to the topological setting, since taking powers is continuous). It follows that there exists  $n = n(p, e) > 1$  such that  $G$  is  $n$ -abelian. Adapting the argument from [13], we have that there exists a nonnegative integer  $r$  such that  $\mathcal{E}(G) = p^{e+r}\mathbb{Z} \cup (p^{e+r}\mathbb{Z} + 1)$ . As in [13] we say that  $r$  is the *exponential rank* of  $G$ , and we write  $r = \text{exprank}(G)$ . Our first result shows that there is a relationship between  $\text{exprank}(G)$  and the exponential rank of finite quotients of  $G$ .

**Proposition 3.1.** *Let  $G$  be a pro- $p$  group with  $\exp G/Z(G) = p^e$ . Then*

$$s = \sup\{\text{exprank}(G/U) \mid U \text{ an open normal subgroup of } G\}$$

*is finite, and  $\text{exprank}(G) \leq s$ .*

*Proof.* Let  $r = \text{exprank}(G)$  and let  $Q$  be any finite quotient of  $G$ . Let  $\exp Q/Z(Q) = p^f$  and  $\text{exprank } Q = t$ . Then  $f \leq e$ . As  $G$  is  $p^{e+r}$ -abelian, so is  $Q$ . This implies that  $t \leq r + e - f$ , therefore  $s < \infty$ . To prove the second part, note that, by definition,  $Q$  is  $p^{f+t}$ -abelian, hence it is also  $p^{e+s}$ -abelian. Since this is true for any finite quotient of  $G$ , it follows that  $G$  is  $p^{e+s}$ -abelian. From here we conclude that  $r \leq s$ , as required.  $\square$

The next lemma is an elementary consequence of Hall's collection process.

**Lemma 3.2.** *Let  $G$  be a group and let  $x, y \in G$ . Then*

$$(xy)^{p^k} \equiv x^{p^k} y^{p^k} \pmod{\gamma_2(\langle x, y \rangle)^{p^k} \prod_{i=1}^k \gamma_{p^i}(\langle x, y \rangle)^{p^{k-i}}}$$

*for all nonnegative integers  $k$ .*

**Theorem 3.3.** *Let  $G$  be a center-by-finite-exponent PF pro- $p$  group. Then its exponential rank is at most 1.*

*Proof.* By Proposition 3.1 we may assume that  $G$  is a finite PF  $p$ -group. Let  $\exp G/Z(G) = p^e$ . Lemma 2.3 implies that  $\exp \gamma_2(G) = p^e$ . Let  $G = N_1 \geq \dots \geq N_k = 1$  be a potent filtration for  $G$ . By induction on  $i$  we can prove that  $\gamma_{i(p-1)+1}(G) \leq N_{i+1}^{p^i}$  for all  $i \geq 1$ . Now, Lemma 3.2 gives

$$(3.3.1) \quad (xy)^{p^{e+1}} \equiv x^{p^{e+1}} y^{p^{e+1}} \pmod{\gamma_2(\langle x, y \rangle)^{p^{e+1}} \prod_{i=1}^{e+1} \gamma_{p^i}(\langle x, y \rangle)^{p^{e+1-i}}}$$

for all  $x, y \in G$ . Clearly,  $\gamma_2(\langle x, y \rangle)^{p^{e+1}} = 1$ . Furthermore, we have that  $p^i > (i-1)(p-1) + 1$  for all  $i \geq 1$ , hence  $\gamma_{p^i}(G)^{p^{e+1-i}} \leq [\gamma_{(i-1)(p-1)+1}(G)^{p^{e+1-i}}, G] \leq$

$[(N_i^{p^{i-1}})^{p^{e+1-i}}, G] = [N_i^{p^e}, G] = [N_i, G]^{p^e} = 1$ . Thus the equation (3.3.1) can be rewritten as  $(xy)^{p^{e+1}} = x^{p^{e+1}}y^{p^{e+1}}$ , hence  $\text{exprank}(G) \leq 1$ .  $\square$

The following example, taken from [4], shows that for each prime  $p$  there exists a finite PF  $p$ -group  $G$  with  $\text{exprank}(G) = 1$ .

*Example 3.4.* Let  $p$  be a prime and  $n$  a positive integer. Let  $H = \langle x_1 \rangle \times \cdots \times \langle x_p \rangle$ , where  $|x_1| = \cdots = |x_{p-1}| = p^n$  and  $|x_p| = p^{n+1}$ . Form  $G = H \rtimes \langle \alpha \rangle$ , where  $\alpha$  is an automorphism of  $H$  of order  $p^n$  acting on  $H$  in the following way:  $x_i^\alpha = x_i x_{i+1}$  for  $1 \leq i \leq p-2$ ,  $x_{p-1}^\alpha = x_{p-1} x_p^p$ , and  $x_p^\alpha = x_p$ . Then it can be verified [4] that  $G$  is a PF-group. As  $[x_p^{p^n}, \alpha] = 1$ , we conclude that  $\exp G/Z(G) = p^n$ . Short calculation shows that  $(\alpha x_1)^{p^n} \neq 1$ , whereas  $\alpha^{p^n} x_1^{p^n} = 1$ . Thus  $\text{exprank}(G) = 1$ .

**Theorem 3.5.** *Let  $G$  be a center-by-finite-exponent potent pro- $p$  group. If  $p$  is odd, then  $\text{exprank}(G) = 0$ . If  $p = 2$  and  $G$  is nonabelian, then  $\text{exprank}(G) = 1$ .*

*Proof.* If  $p = 2$ , then  $G$  is powerful and the conclusion follows from [13]. Thus we assume from here on that  $p$  is odd. Let  $\exp G/Z(G) = p^e$ . Then  $\exp \gamma_2(G) = p^e$ . We have that

$$(xy)^{p^e} \equiv x^{p^e} y^{p^e} \pmod{\gamma_2(\langle x, y \rangle)^{p^e} \prod_{i=1}^e \gamma_{p^i}(\langle x, y \rangle)^{p^{e-i}}}.$$

We prove by induction on  $i$  that  $\gamma_{p^i}(G)^{p^{e-i}} = 1$  for all  $i \geq 1$ . The case  $i = 1$  follows from  $\gamma_p(G)^{p^{e-1}} \leq [G^p, G]^{p^{e-1}} = \gamma_2(G)^{p^e} = 1$ . For the induction step observe that  $\gamma_{p^{i+1}}(G)^{p^{e-i-1}} = [\gamma_{p-1}(G), {}_{p^{i+1}-p+1}G]^{p^{e-i-1}} \leq [G^p, {}_{p^{i+1}-p+1}G]^{p^{e-i-1}} = \gamma_{p^{i+1}-p+2}(G)^{p^{e-i}} \leq \gamma_{p^i}(G)^{p^{e-i}} = 1$ . This shows that  $G$  is  $p^e$ -abelian.  $\square$

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